

Hercules Specialised Courses  
**Neutrons and Synchrotron Radiation  
for Magnetism**  
Grenoble, 14-18 September 2015

# RIXS and XMCD for the study of high Tc superconductors



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**CNR - SPIN**

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18 September, 2015

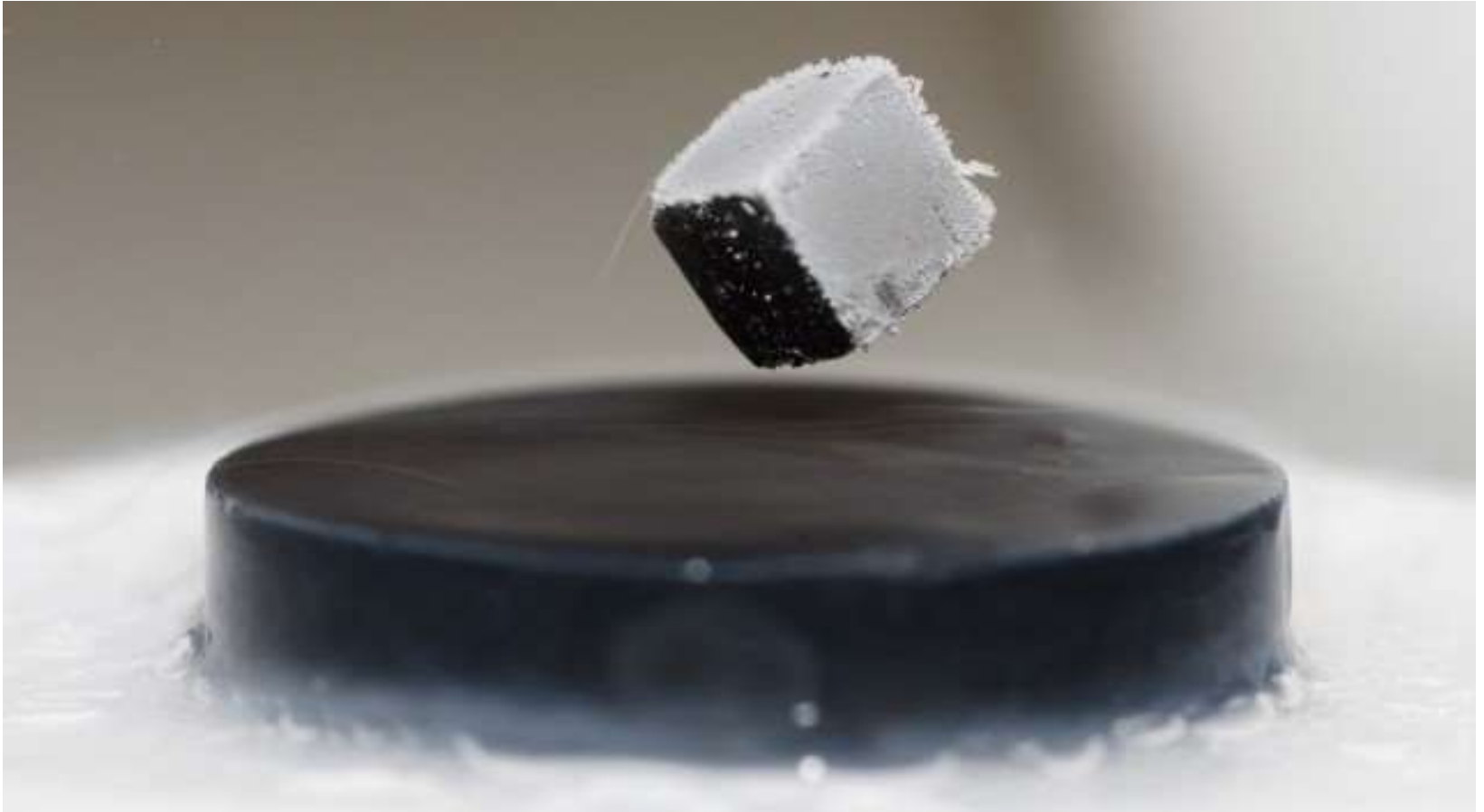
# Summary



- ~ The case of cuprate high  $T_c$  superconductors
- ~ XMCD of cuprates
- ~ Cu  $L_3$  RIXS and spin excitations in cuprates

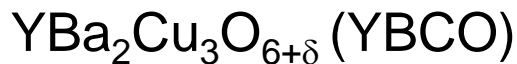
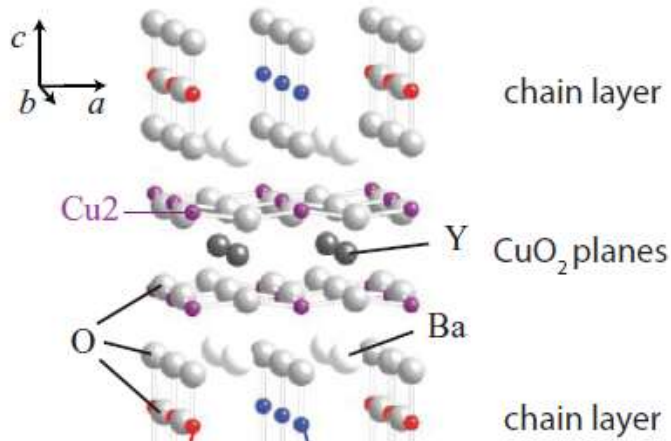
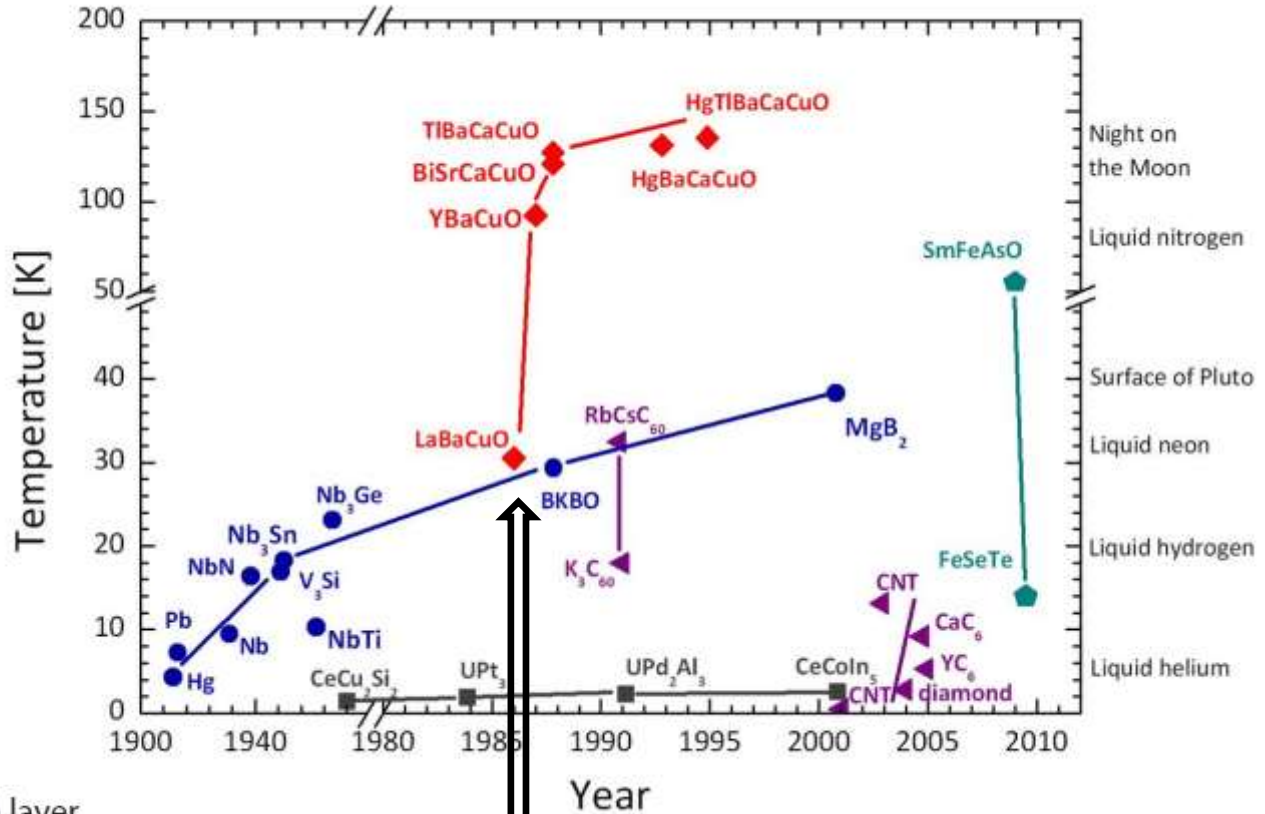
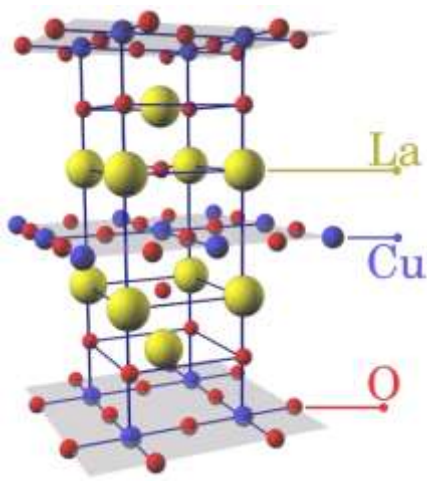


# High Tc superconductors





# High $T_c$ superconducting cuprates

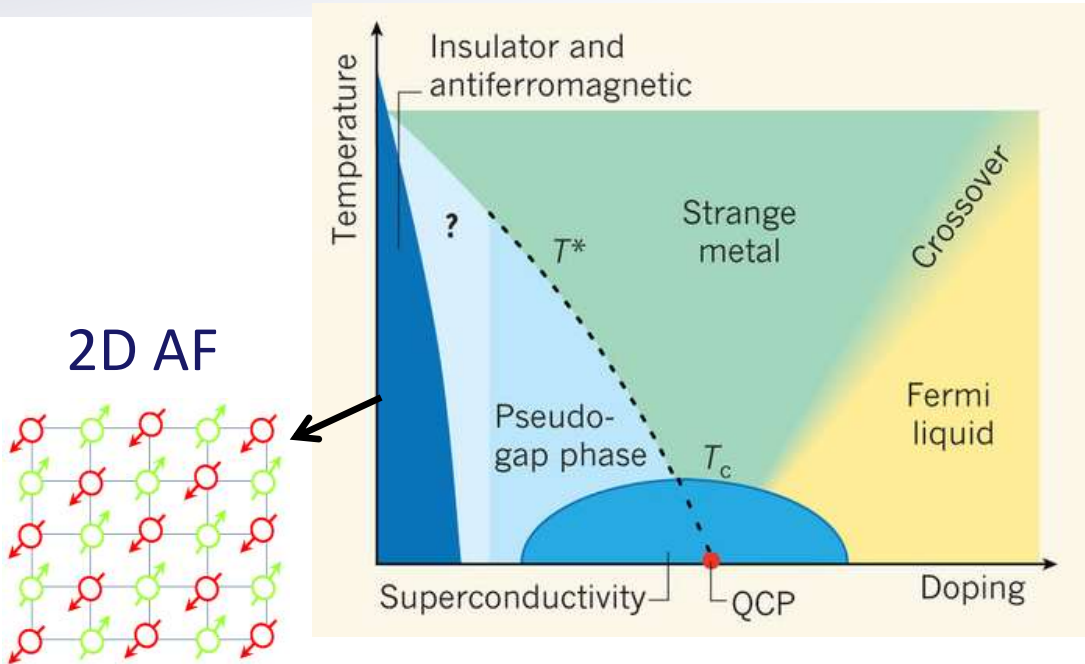


## Possible High $T_c$ Superconductivity in the Ba-La-Cu-O System

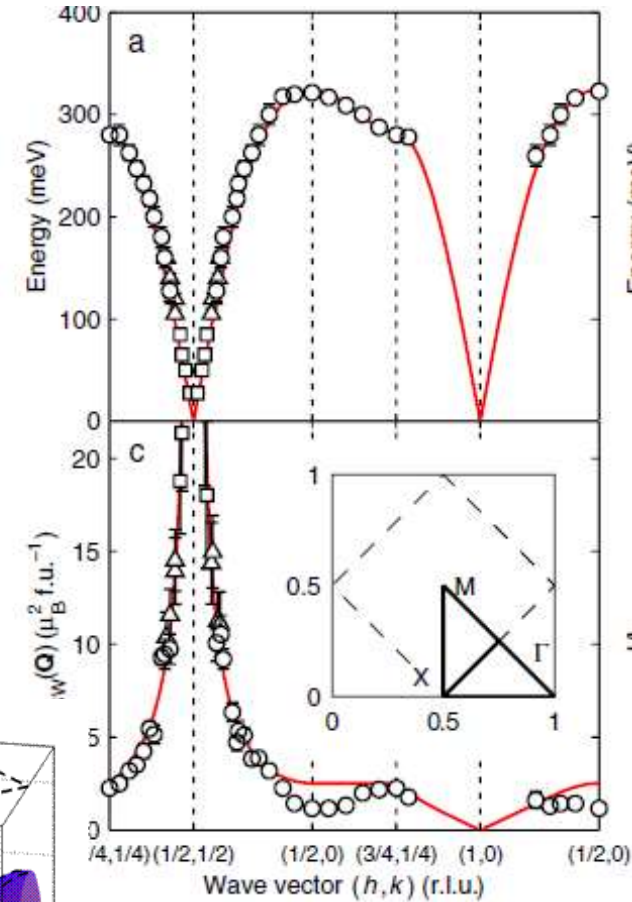
J.G. Bednorz and K.A. Müller  
 IBM Zürich Research Laboratory, Rüschlikon, Switzerland

Received April 17, 1986

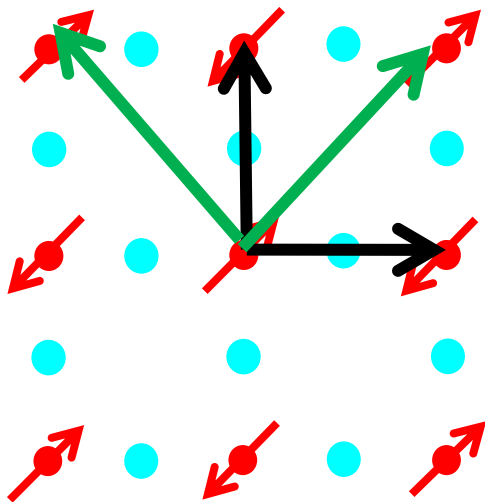
# Spin excitations in HTcS: undoped AF



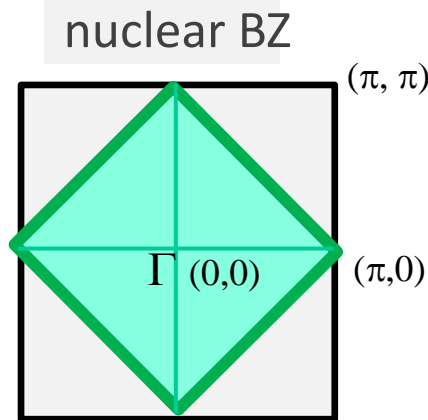
INS:  $\text{La}_2\text{CuO}_4$



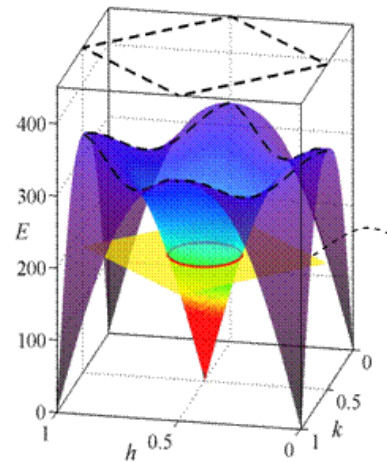
DIRECT SPACE



RECIPROCAL SPACE

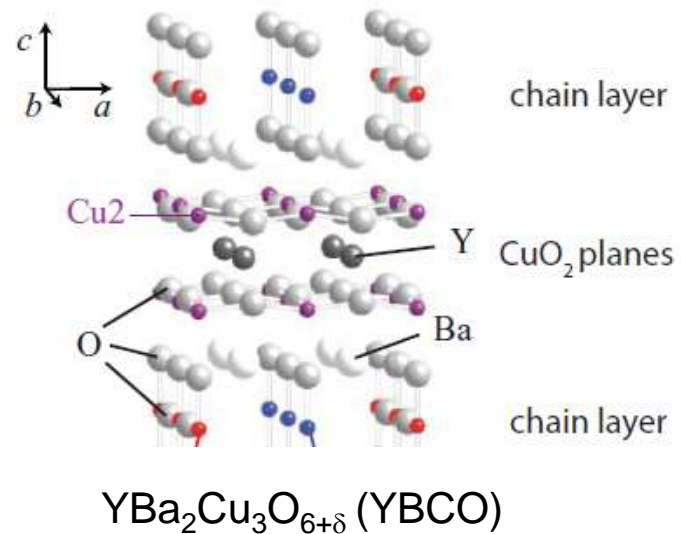
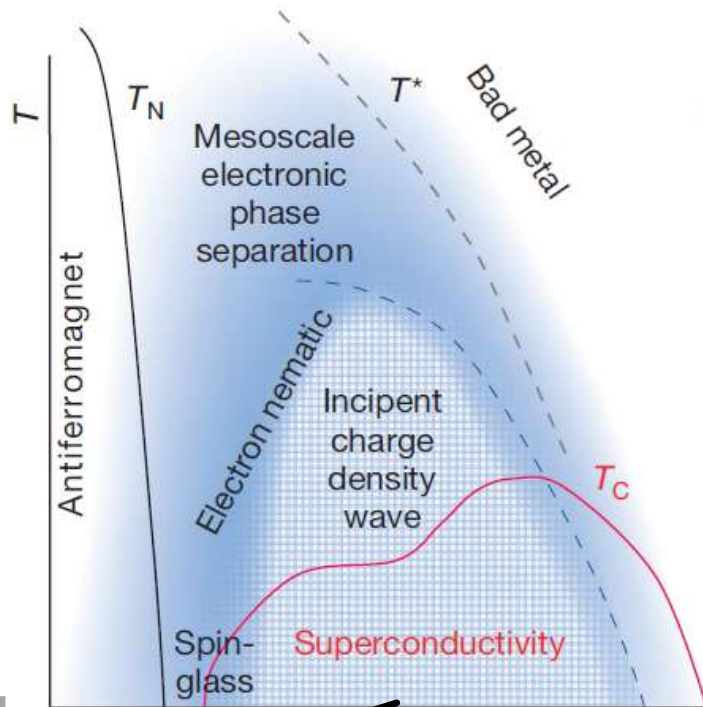
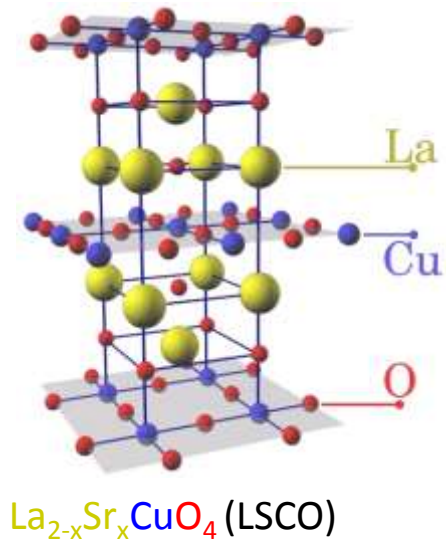


magnetic BZ

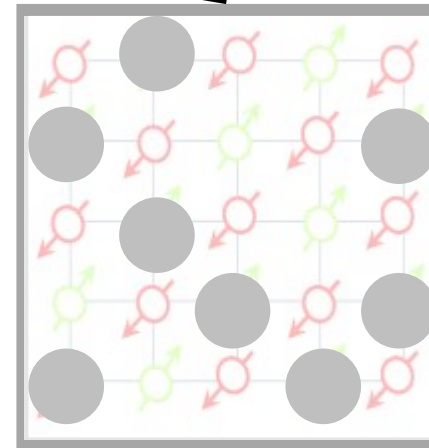
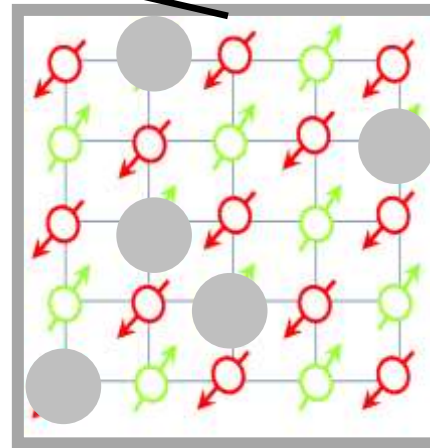
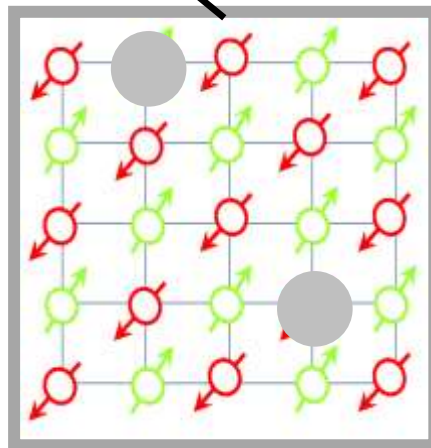
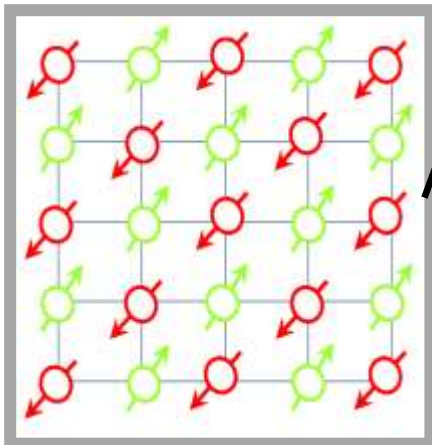


N. S. Headings, S. M. Hayden, R. Coldea, and T. G. Perring, Phys Rev Lett. **105** 247001 (2011)

# The mysteries of $HT_cS$

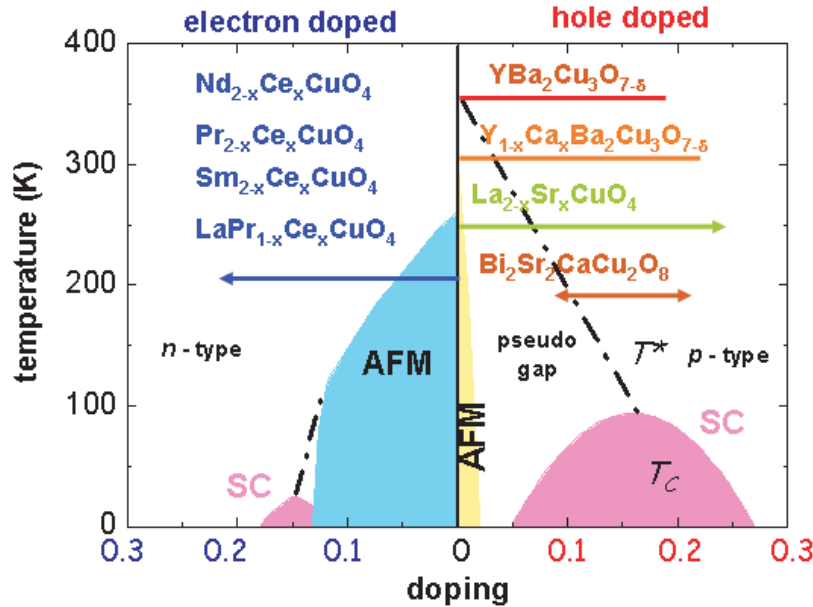


Eduardo Fradkin and Steven A. Kivelson, *Nature Physics*, 8, 864 (2012)

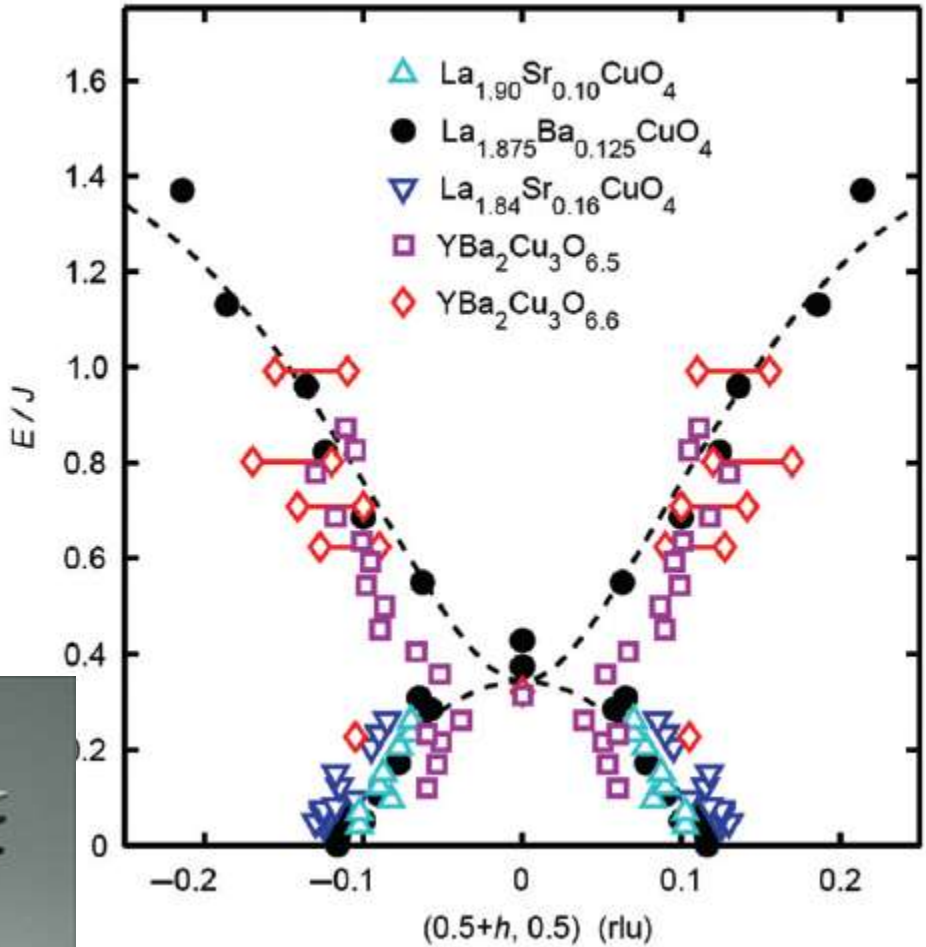




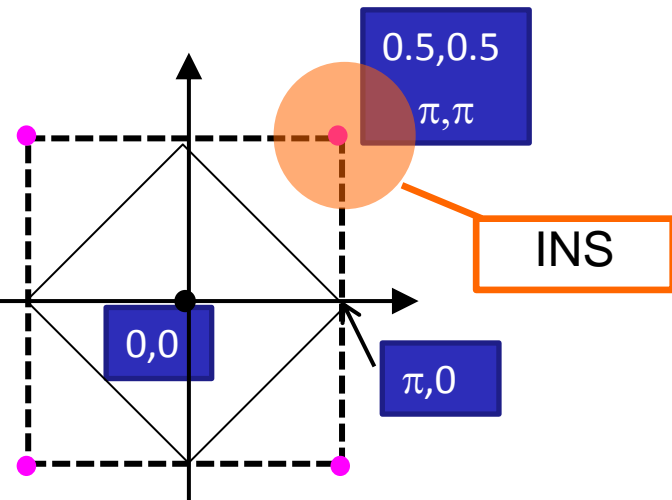
# Spin excitations in HTcS: doped SC



[http://for538.wmi.badw.de/projects/P4\\_crystal\\_growth/index.htm](http://for538.wmi.badw.de/projects/P4_crystal_growth/index.htm)

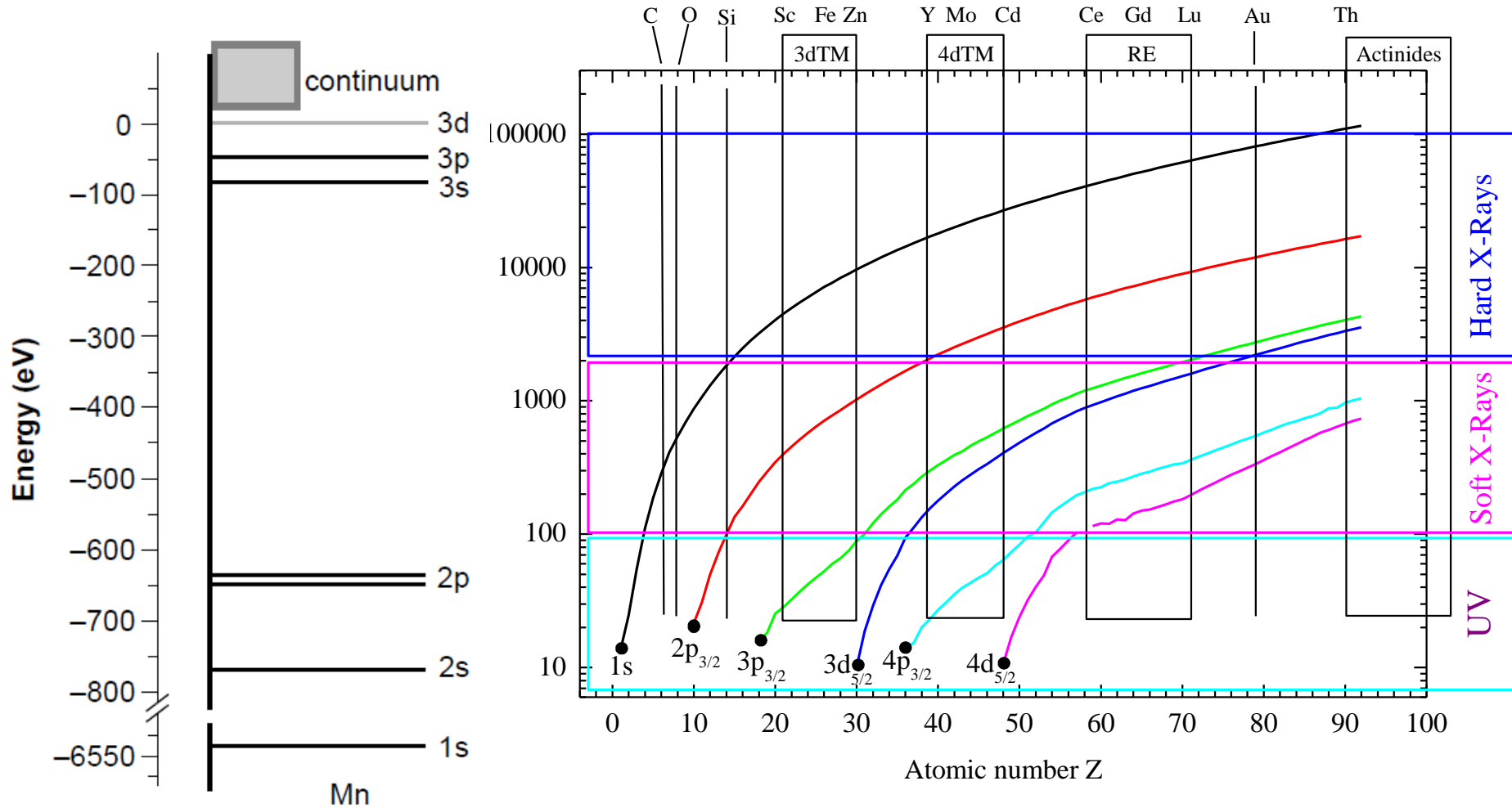


J.M. Tranquada, in *Handbook of High-Temperature Superconductivity: Theory and Experiment*, J.R. Schrieffer and J.S. Brooks, eds., Springer, 2007,



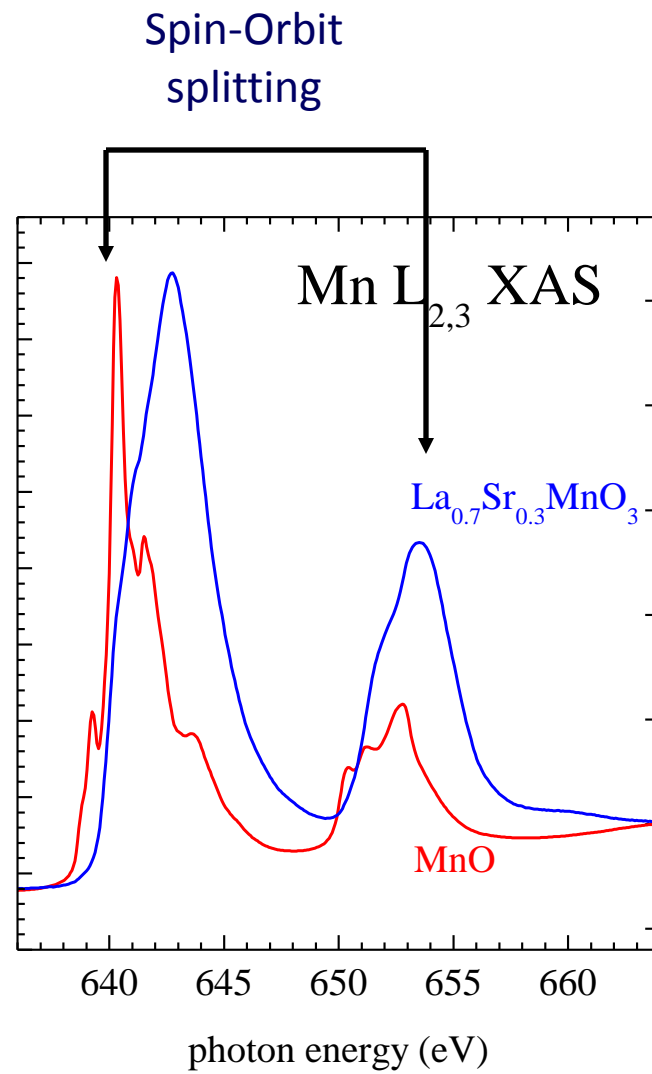
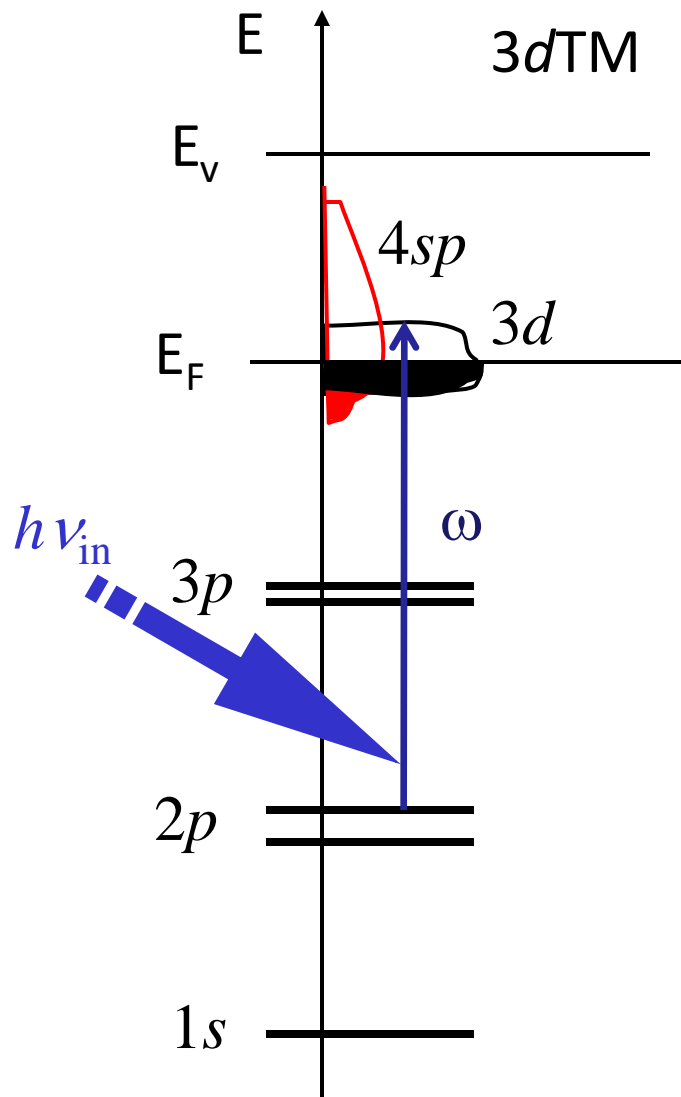
V. Hinkov et al, *Eur. Phys. J. Special Topics* 188, 113–129 (2010)

# Core level binding energies and edges

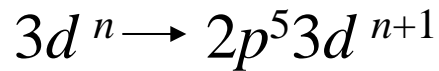
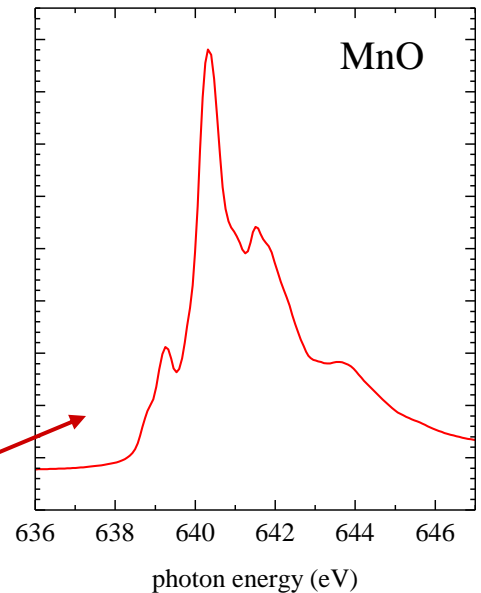
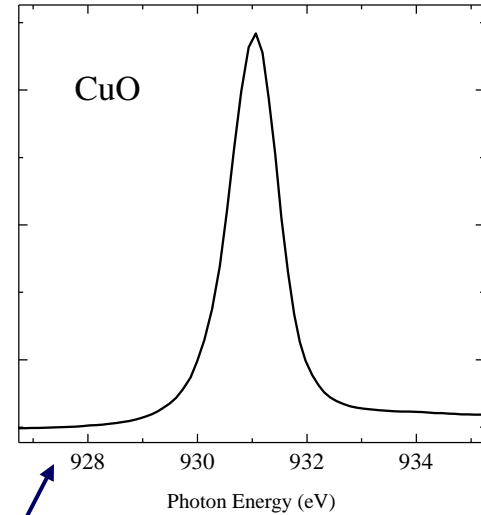
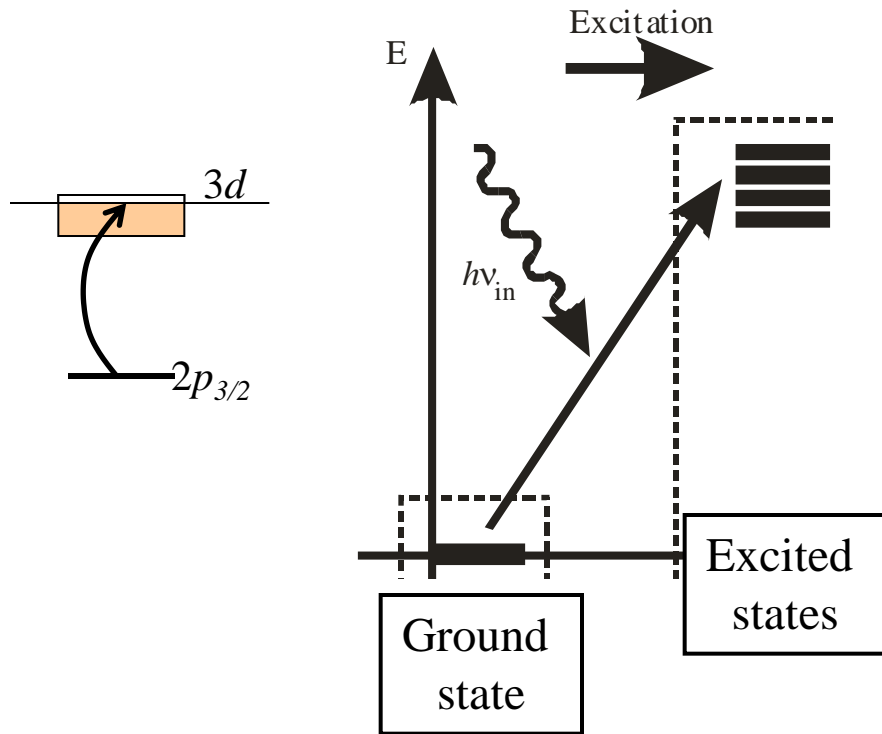




# XAS of 3d transition metals



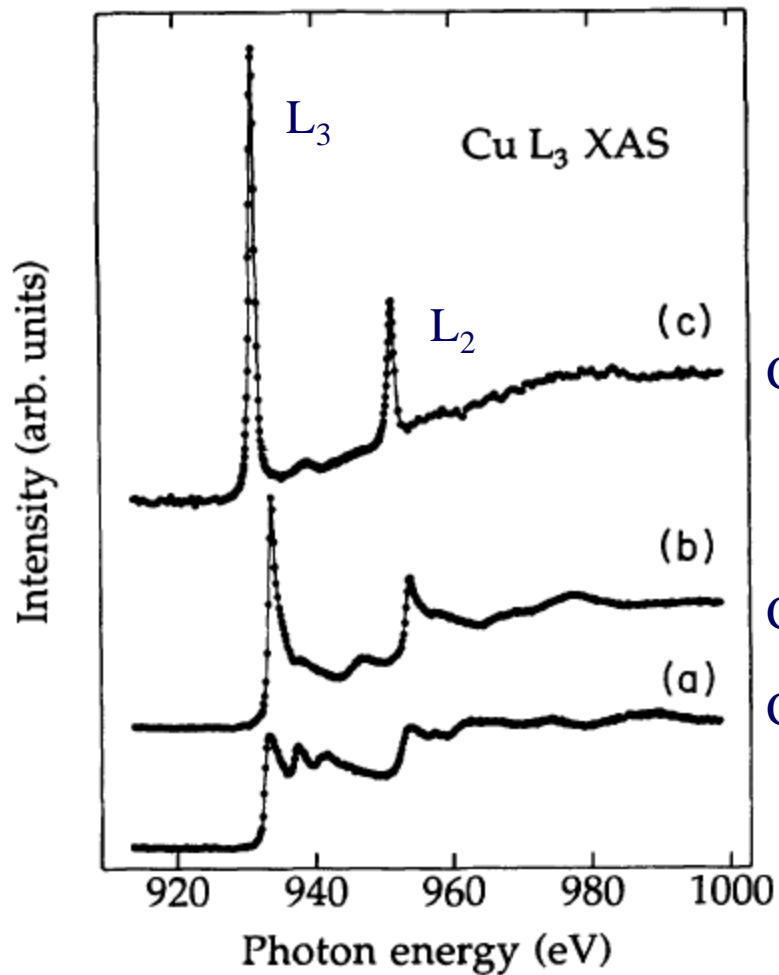
# L<sub>3</sub> XAS and multiplets



One single peak

Many peaks

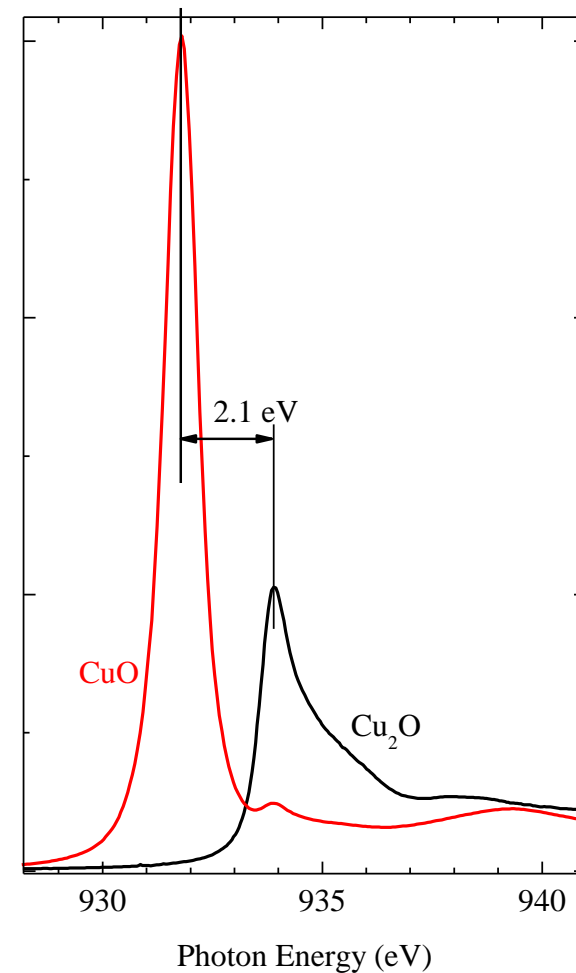
# L<sub>3</sub> XAS and valence



CuO: Cu<sup>2+</sup> is 3d<sup>9</sup>

Cu<sub>2</sub>O: Cu<sup>1+</sup> is 3d<sup>10</sup>

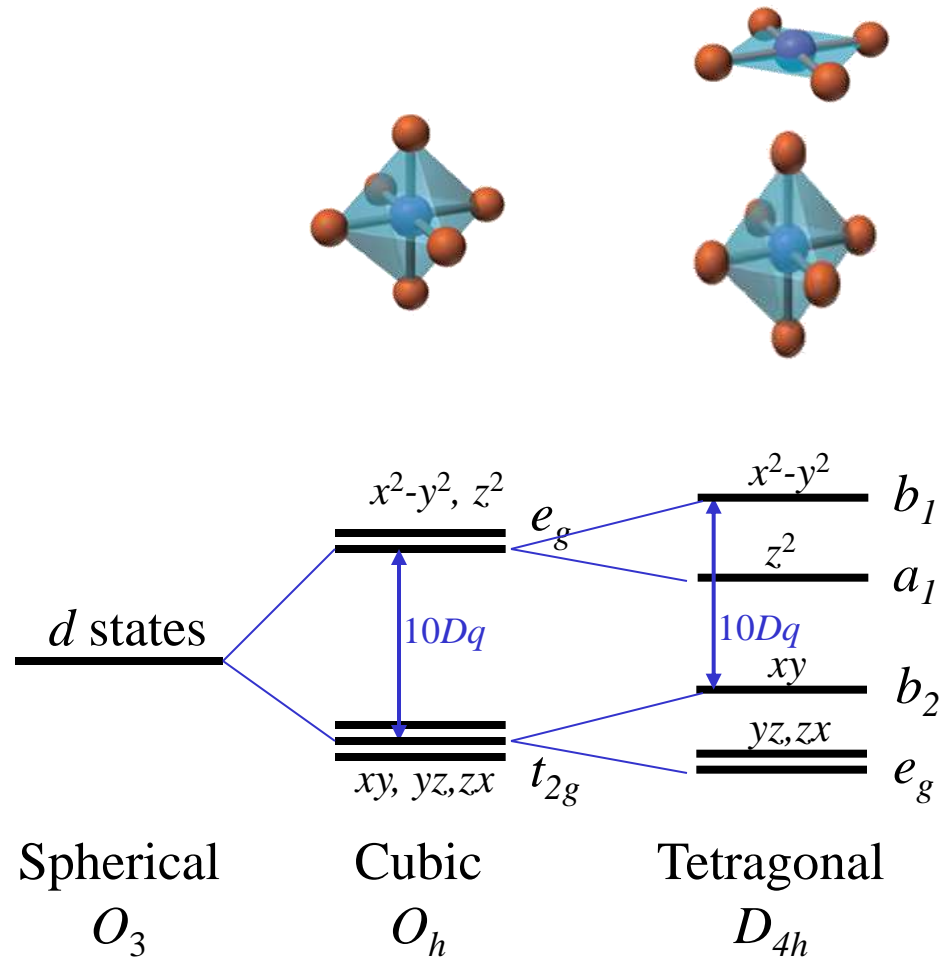
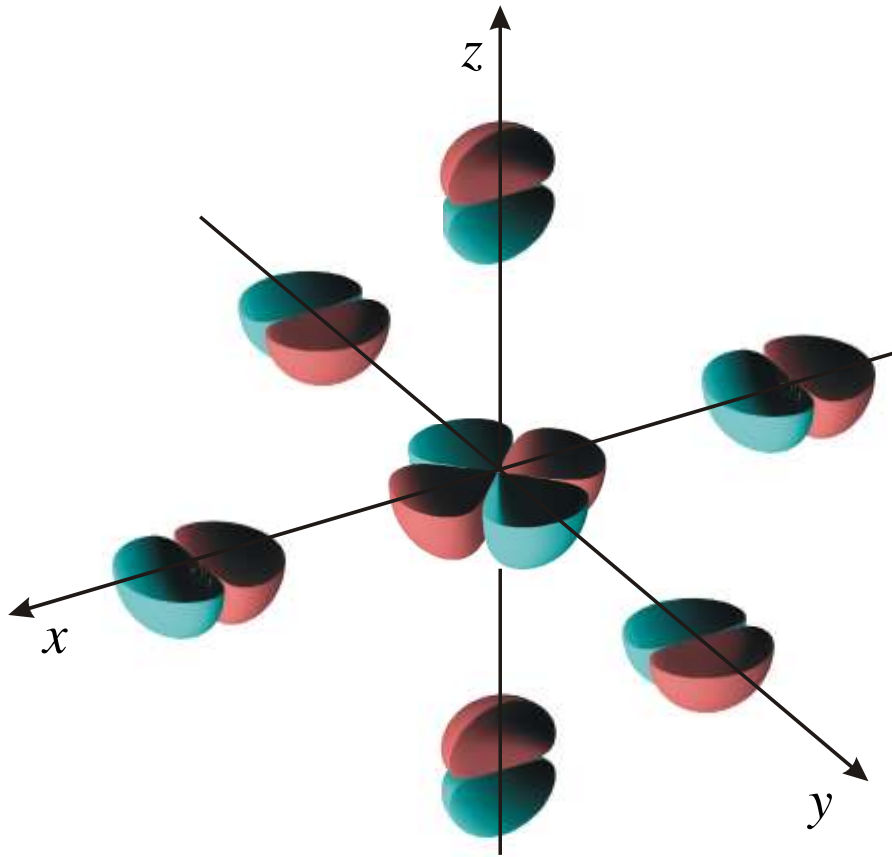
Cu metal: 3d<sup>10</sup>4s<sup>1</sup>



Source: M. Grioni *et al* PRB **45**, 3309 (1992)

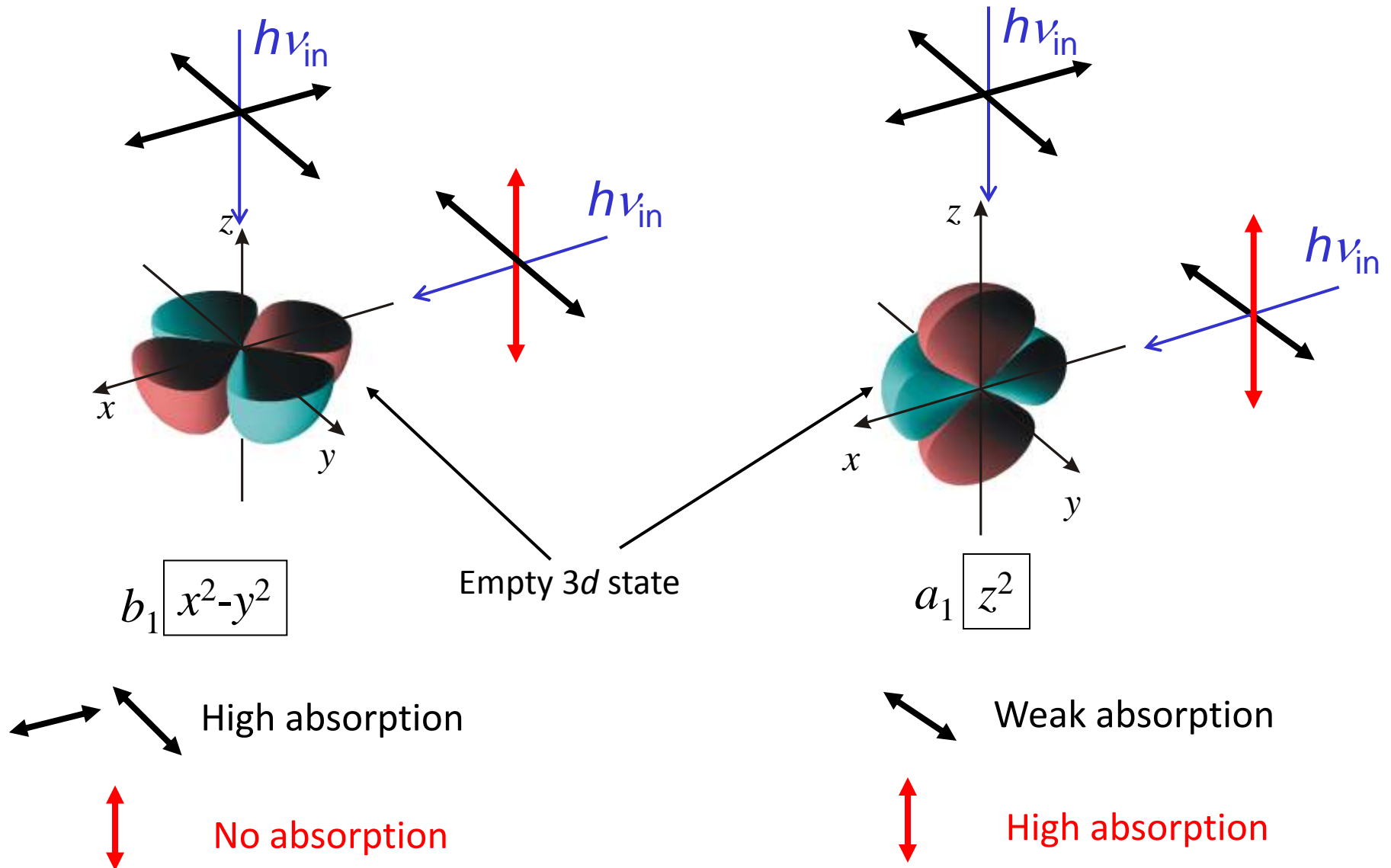
Source: M. Finazzi *et al* PRB **61**, 4629 (2000)

# Crystal field

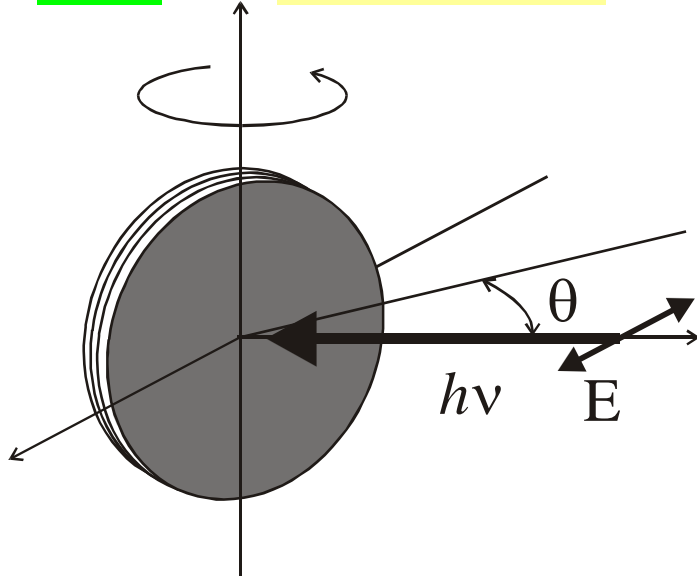
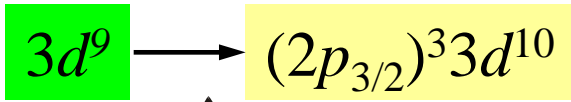




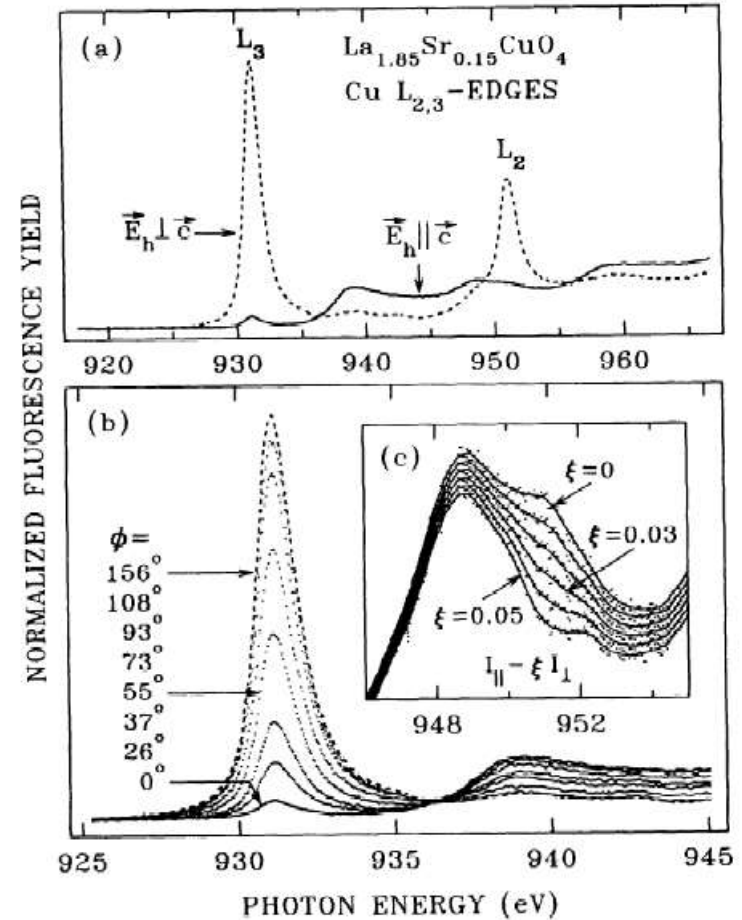
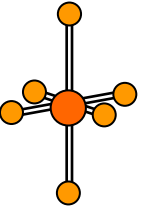
# Linear polarization of x-rays and orbital orientation



# 3d hole symmetry in cuprates



Result: the hole in  $\text{Cu}^{2+}$  has 100%  $x^2-y^2$  symmetry



VOLUME 68, NUMBER 16

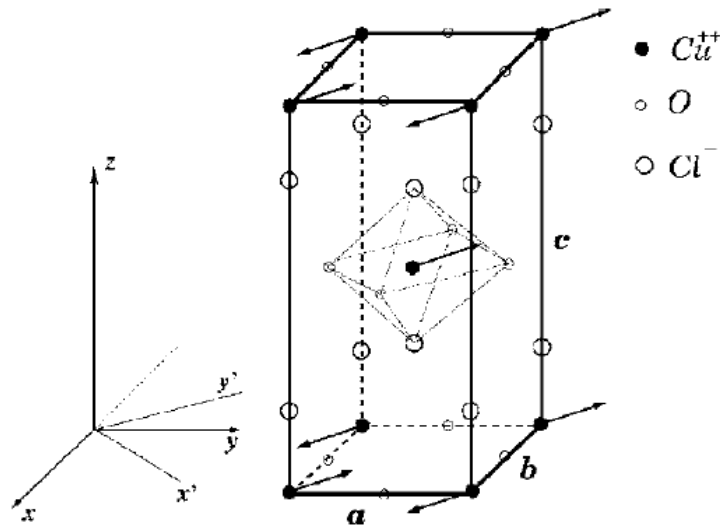
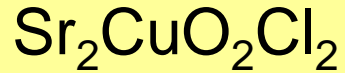
PHYSICAL REVIEW LETTERS

20 APRIL 1992

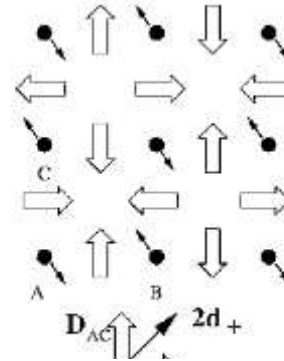
## Out-of-Plane Orbital Characters of Intrinsic and Doped Holes in $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$

C. T. Chen, L. H. Tjeng, J. Kwo, H. L. Kao, P. Rudolf, F. Sette, and R. M. Fleming

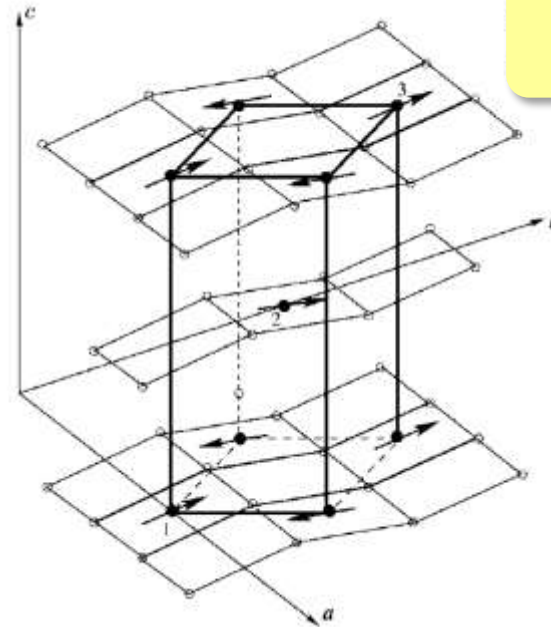
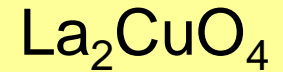
# Weak ferromagnetism of cuprates



Perfectly flat  $\text{CuO}_2$  planes  
 Spins are along (110), no out-of-plane component

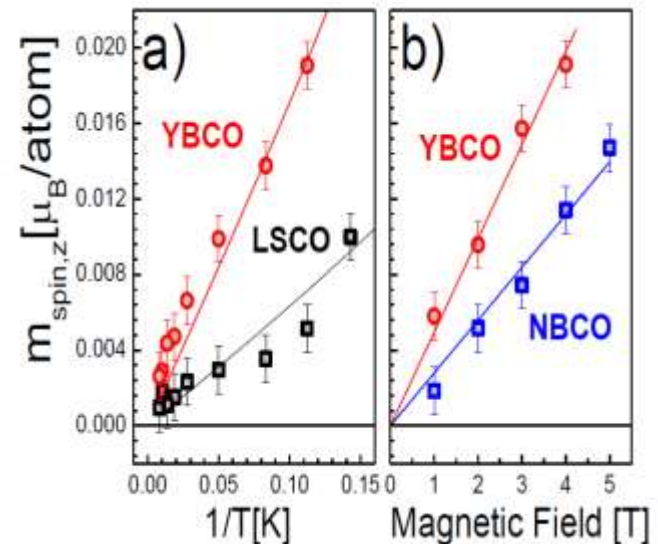
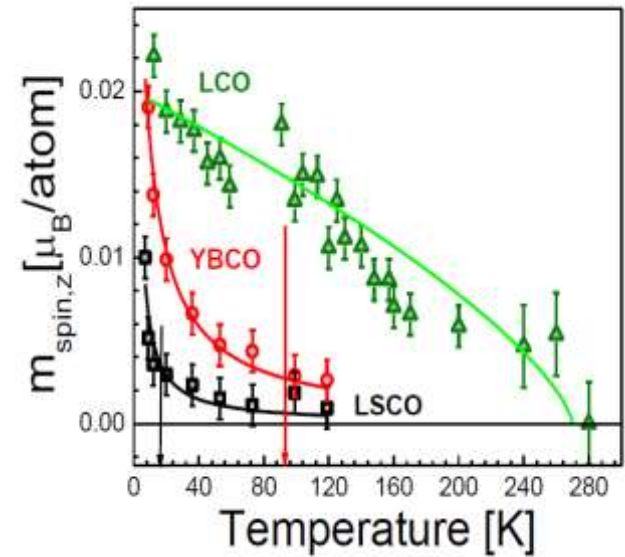
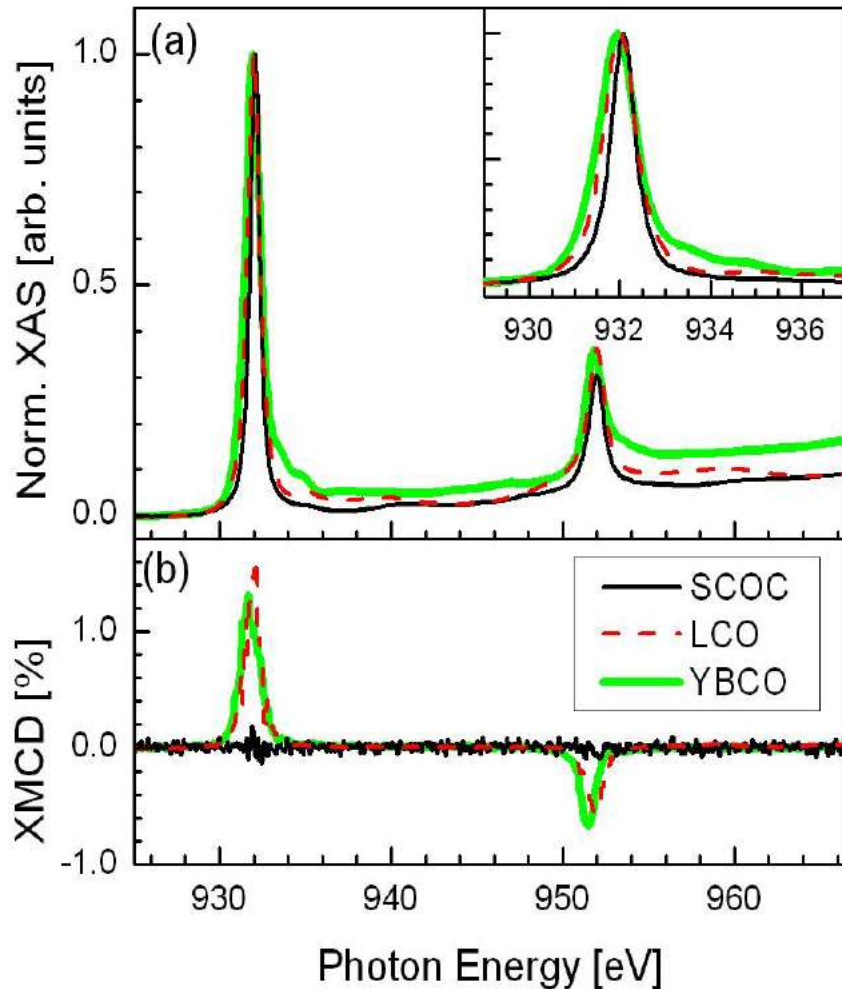


Dzyaloshinskii-Moriya interaction



Buckled  $\text{CuO}_2$  planes  
 DM interaction induces out-of-plane spin component

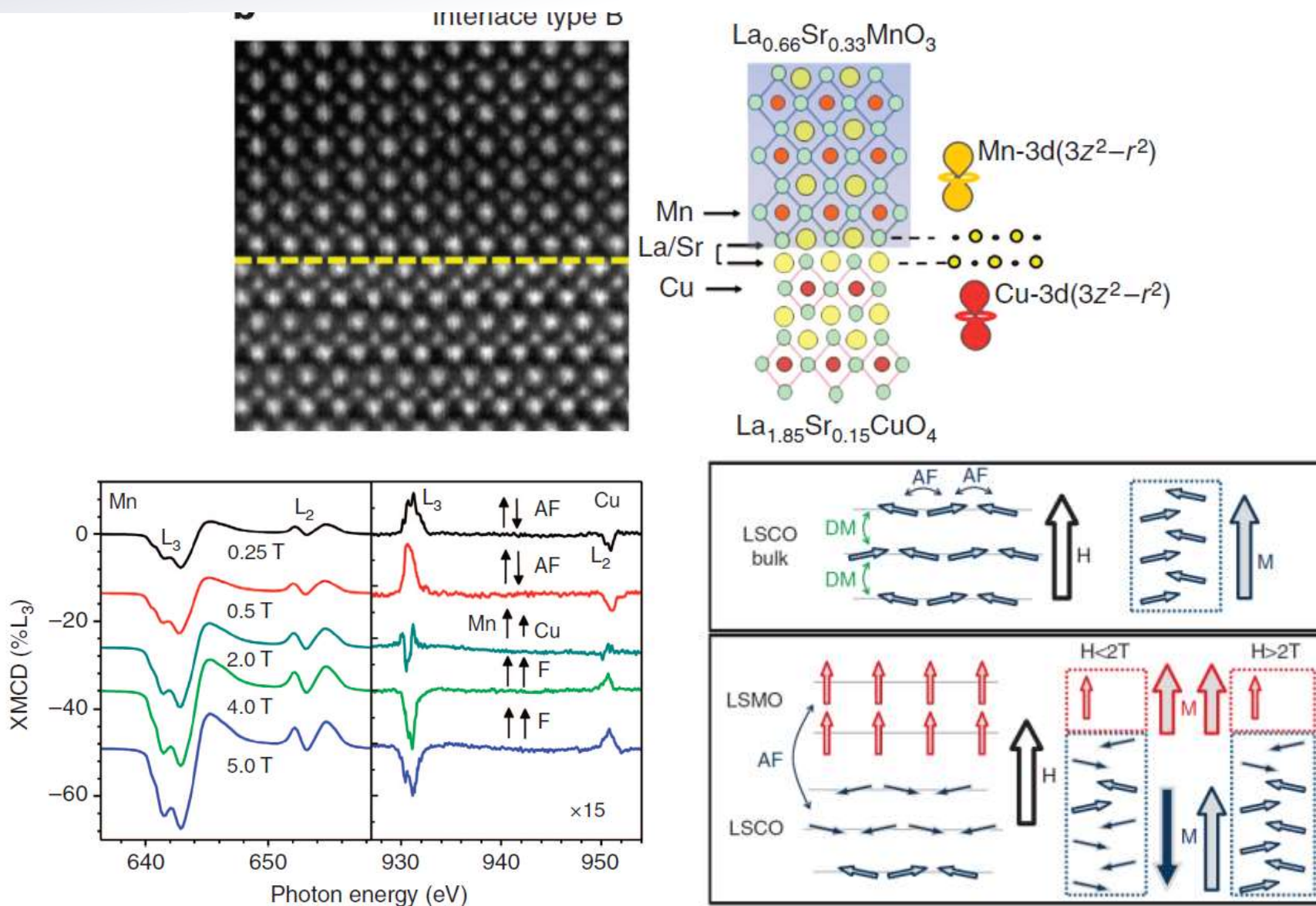
# XMCD of cuprates



G. M. De Luca, G. Ghiringhelli, M. Moretti Sala, S. Di Matteo, M.W. Haverkort, H. Berger, V. Bisogni, J. C. Cezar, N. B. Brookes, and M. Salluzzo, Phys. Rev. B **82**, 214504 (2010)

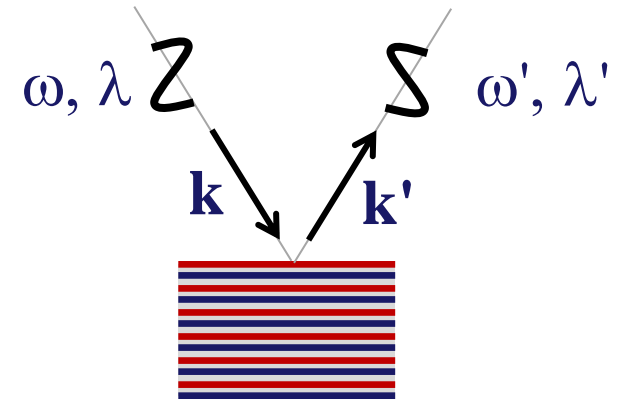
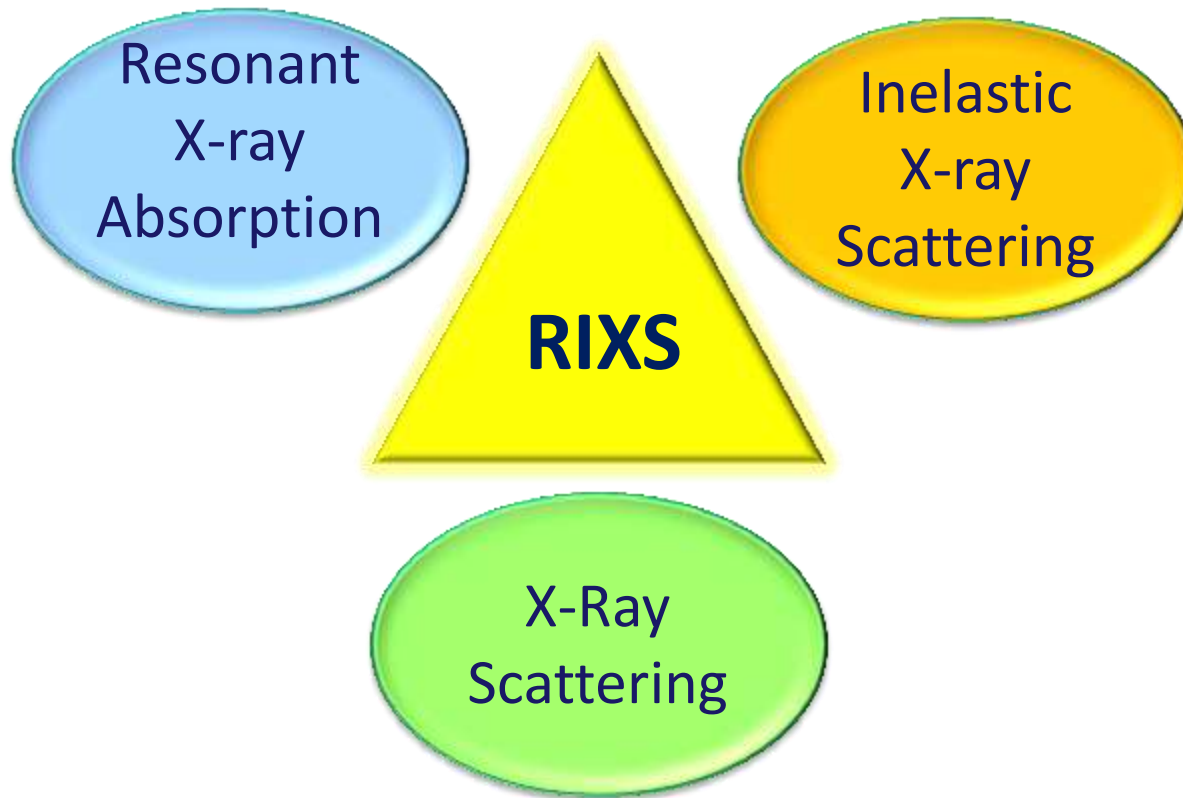


# Proximity effect: FM disturbs SC



G.M. De Luca, G. Ghiringhelli, C.A. Perroni, V. Cataudella, F. Chiarella, C. Cantoni, A.R. Lupini, N.B. Brookes, M. Huijben, G. Koster, G. Rijnders & M. Salluzzo, Nat. Comm. 5, 5626 (2014)

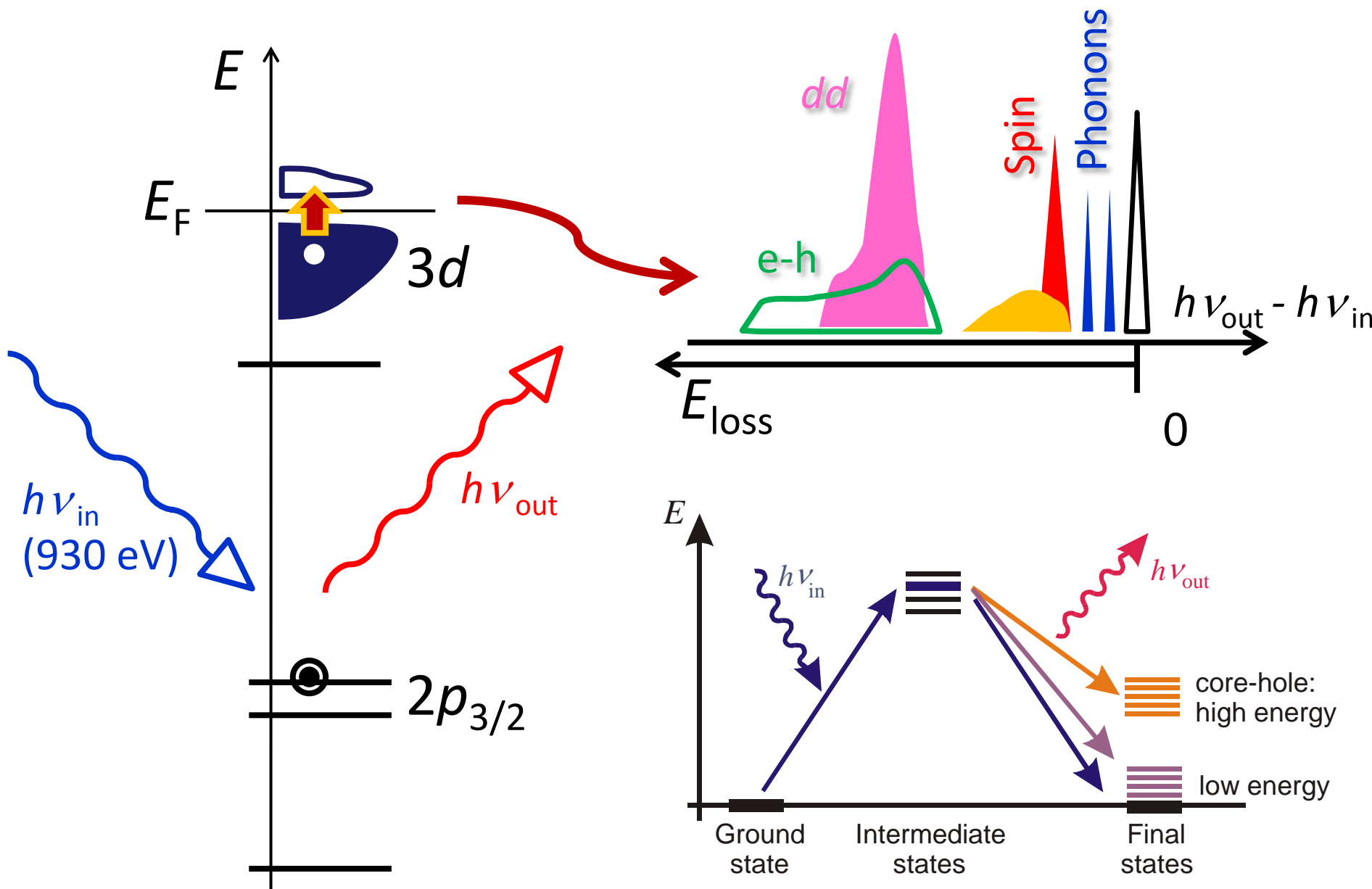
# Resonant Inelastic X-ray Scattering



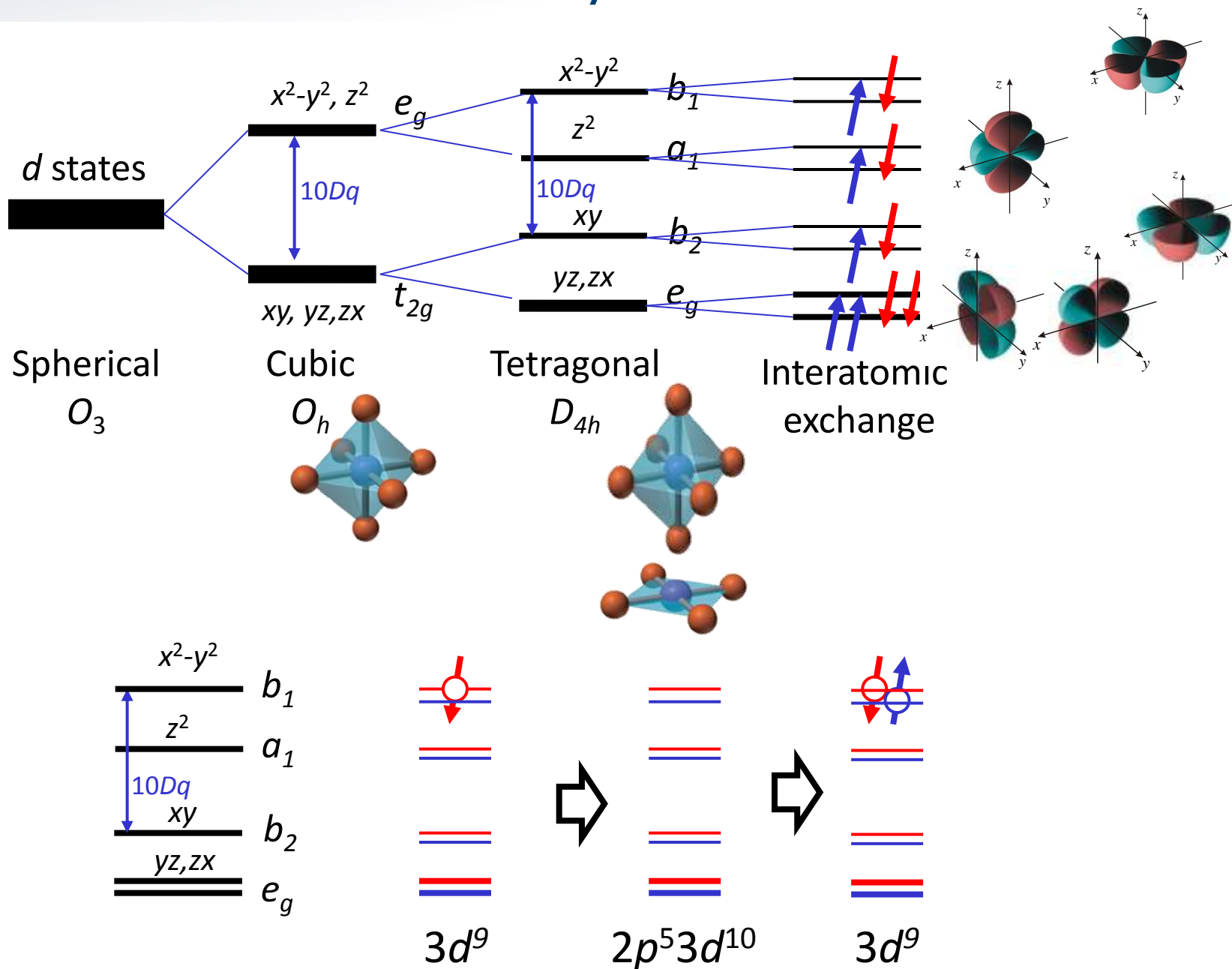
$$\Omega = \omega - \omega'$$

$$\mathbf{q} = \mathbf{k}' - \mathbf{k}$$

# L<sub>3</sub> RIXS

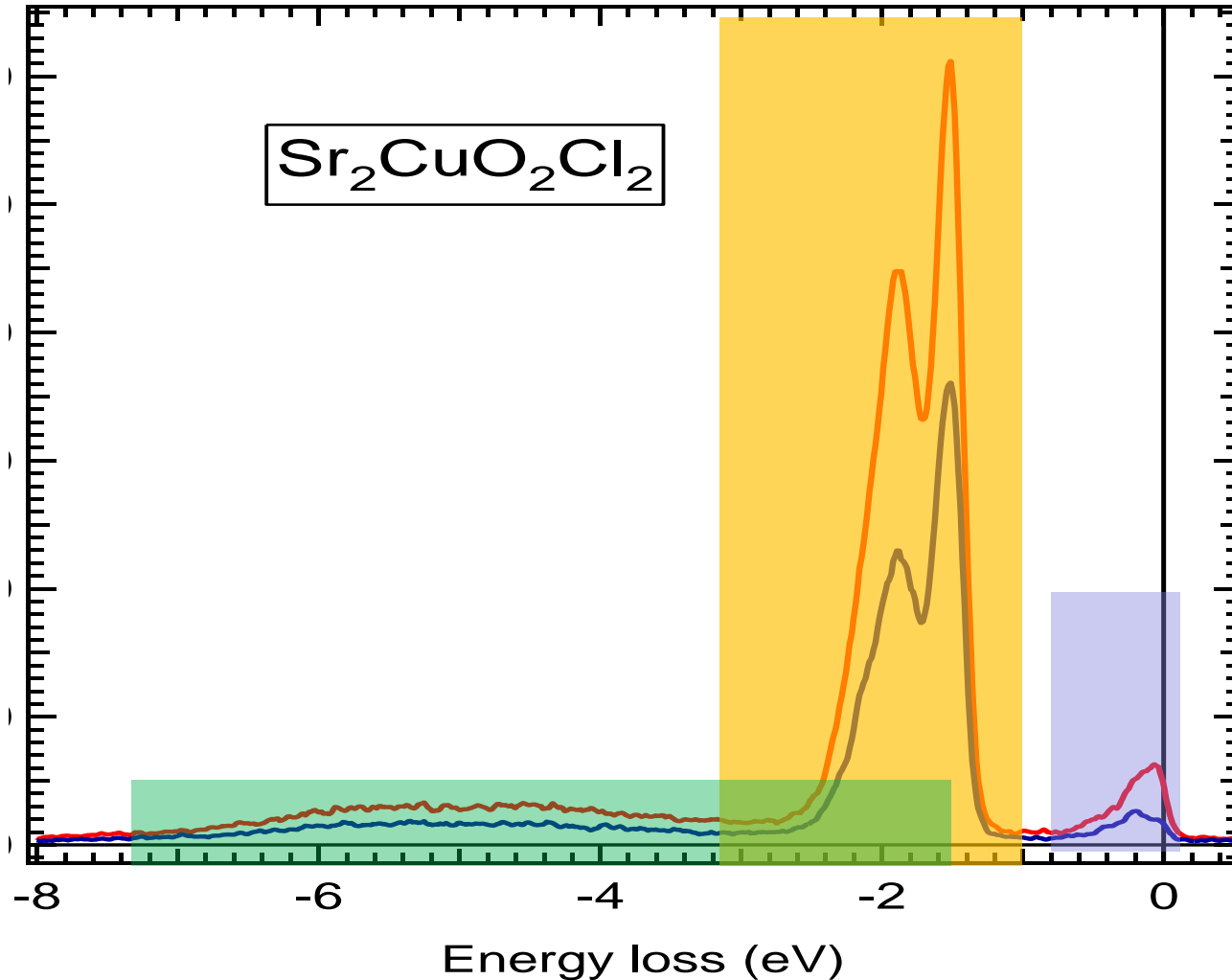
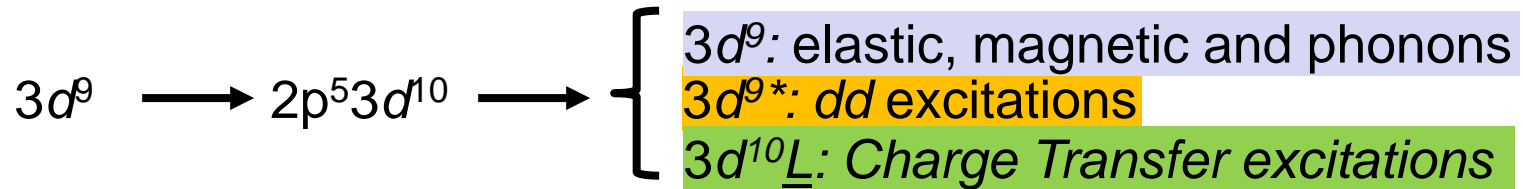


# *dd* excitations in $\text{Cu}^{2+}$ systems





# Cu L<sub>3</sub> RIXS of cuprates: mainly *dd* excitations

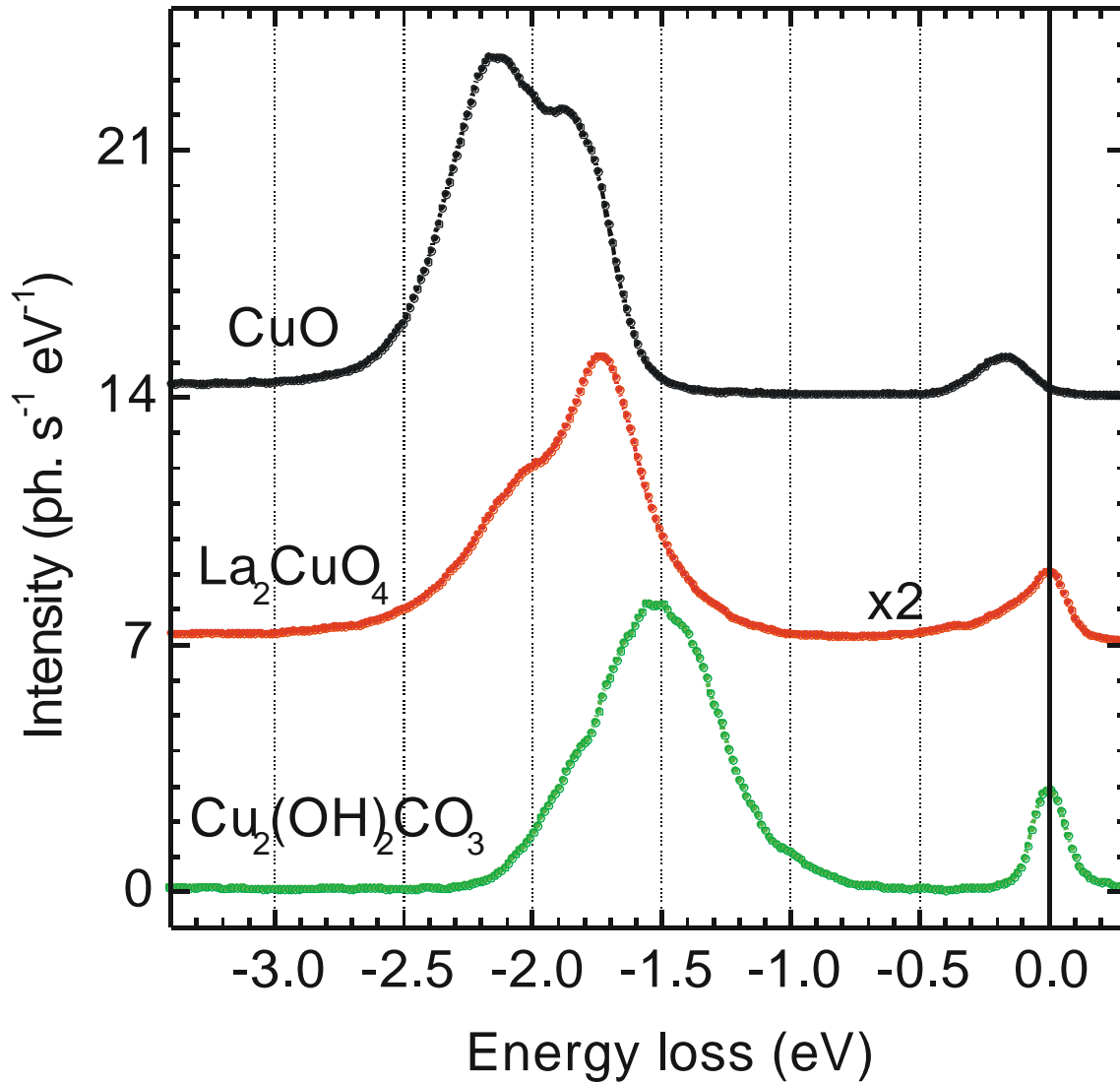


All final states are reached via 2 electric dipole allowed transitions!

Photons get coupled to electrons spin thanks to  $2p$  spin-orbit interaction

At L<sub>3</sub> edge elastic peak is very small (not the case at K)

# Cu L<sub>3</sub> edge: CuO, La<sub>2</sub>CuO<sub>4</sub>, Malachite



Cu<sup>2+</sup> in square  
approximately  
planar coordination

Cu-O distances:  
CuO 1.7 – 2.2 Ang  
LCO 1.9 – 2.4 Ang  
Malachite 1.9 – 2.6 Ang

Different Cu<sup>2+</sup>  
coordination,  
symmetry,  
hybridization



Different *dd* excitations

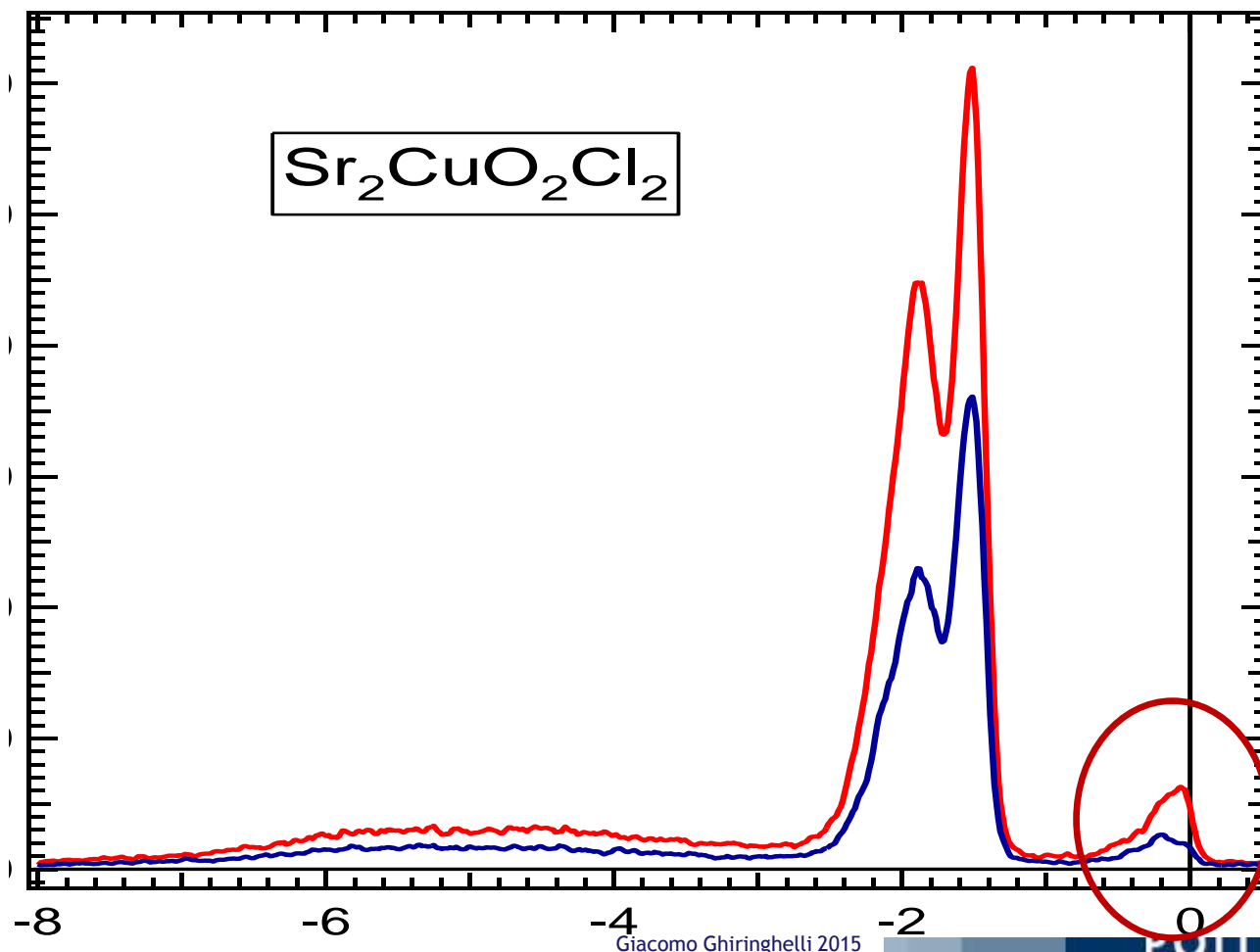
G. Ghiringhelli, A. Piazzalunga, X. Wang, A. Bendounan, H. Berger, F. Bottegoni, N. Christensen, C. Dallera, M. Grioni, J.-C. Grivel, M. Moretti Sala, L. Patthey, J. Schlappa, T. Schmitt, V. Strocov, and L. Braicovich, Eur.Phys. J. Special topics **169**, 199 (2009)

# What about the “quasi-elastic” spectral features?

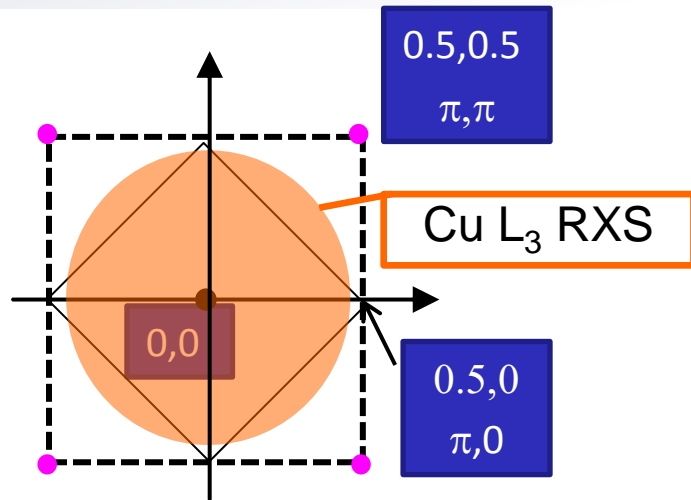
Phonons: up to 90meV

Magnons ( $2J$  at BZB): up to 300 meV ( $J_{\text{eff}} \approx 140$  meV)

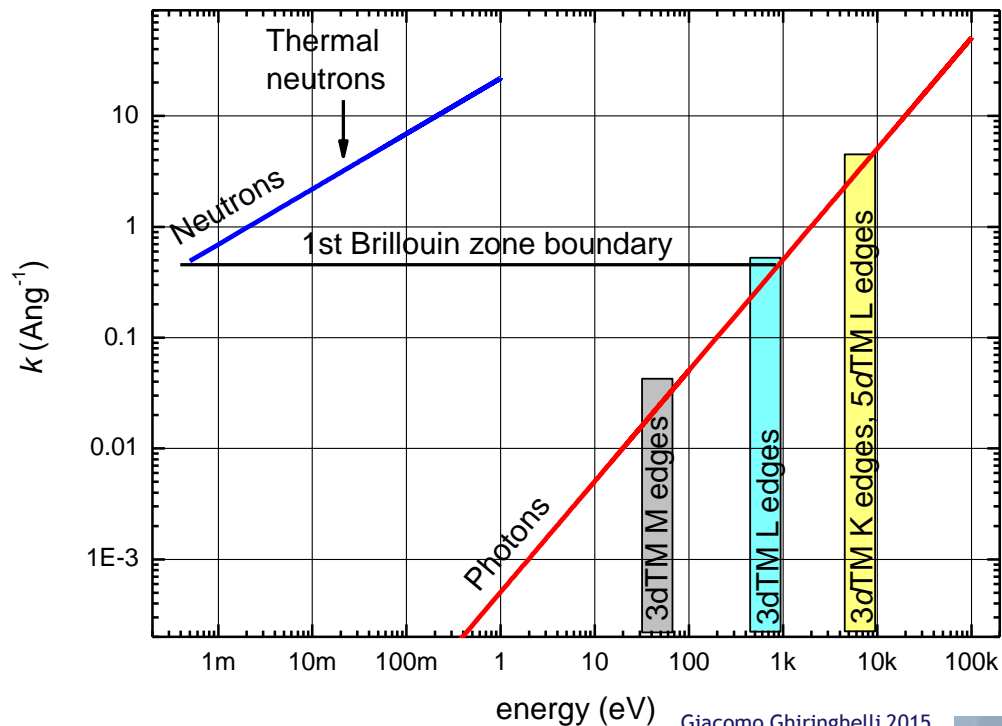
Multi magnons...



# RIXS: Experimental conditions

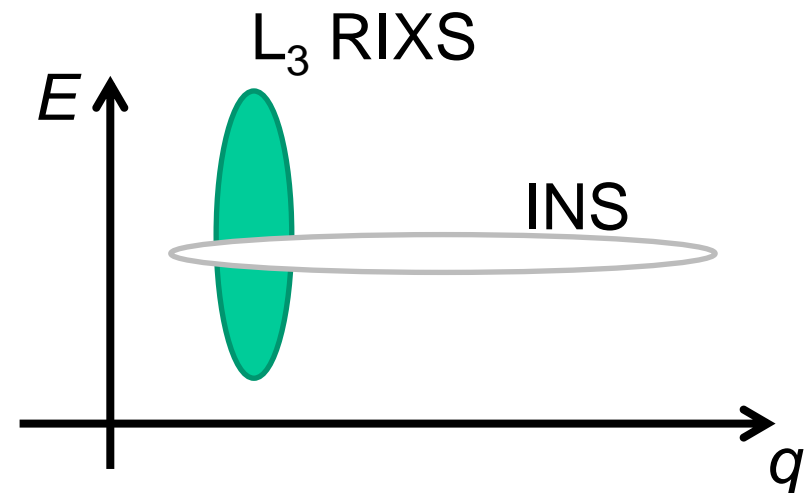


Wavevector of particles used in inelastic scattering

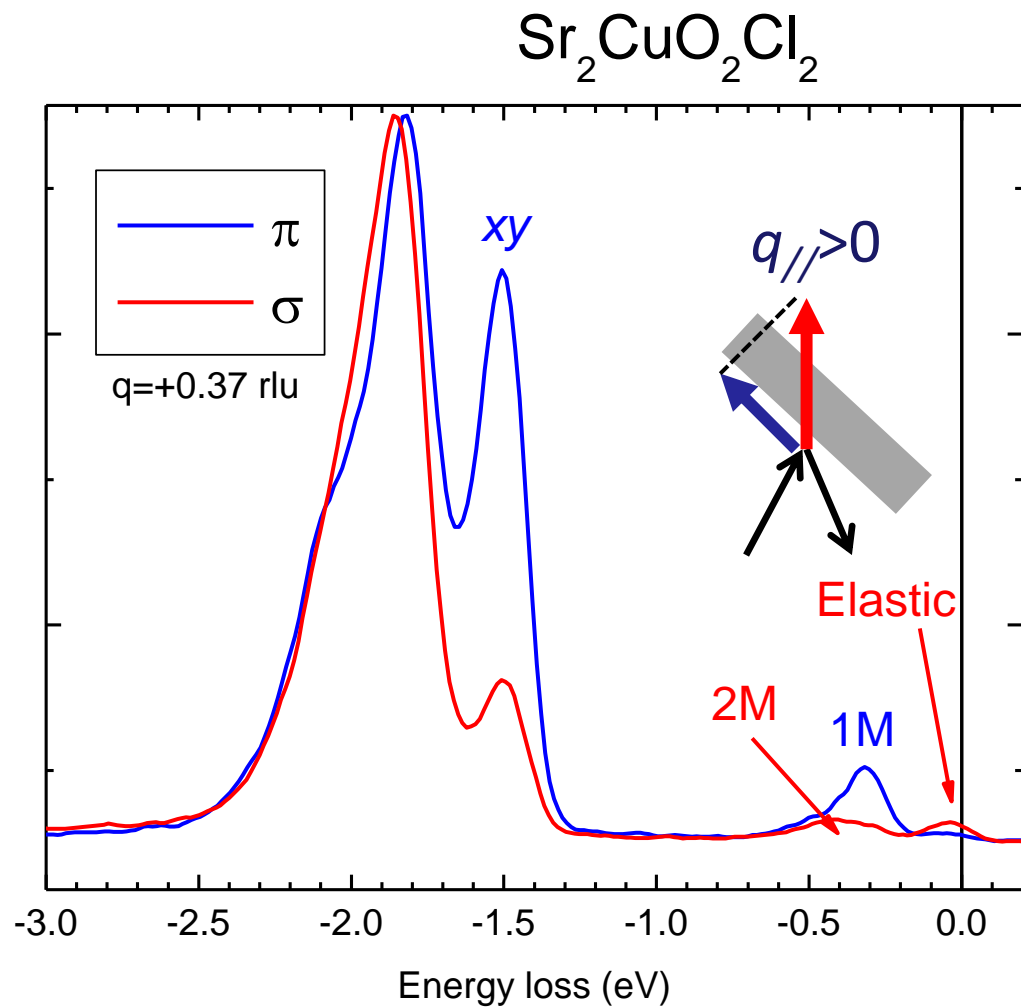
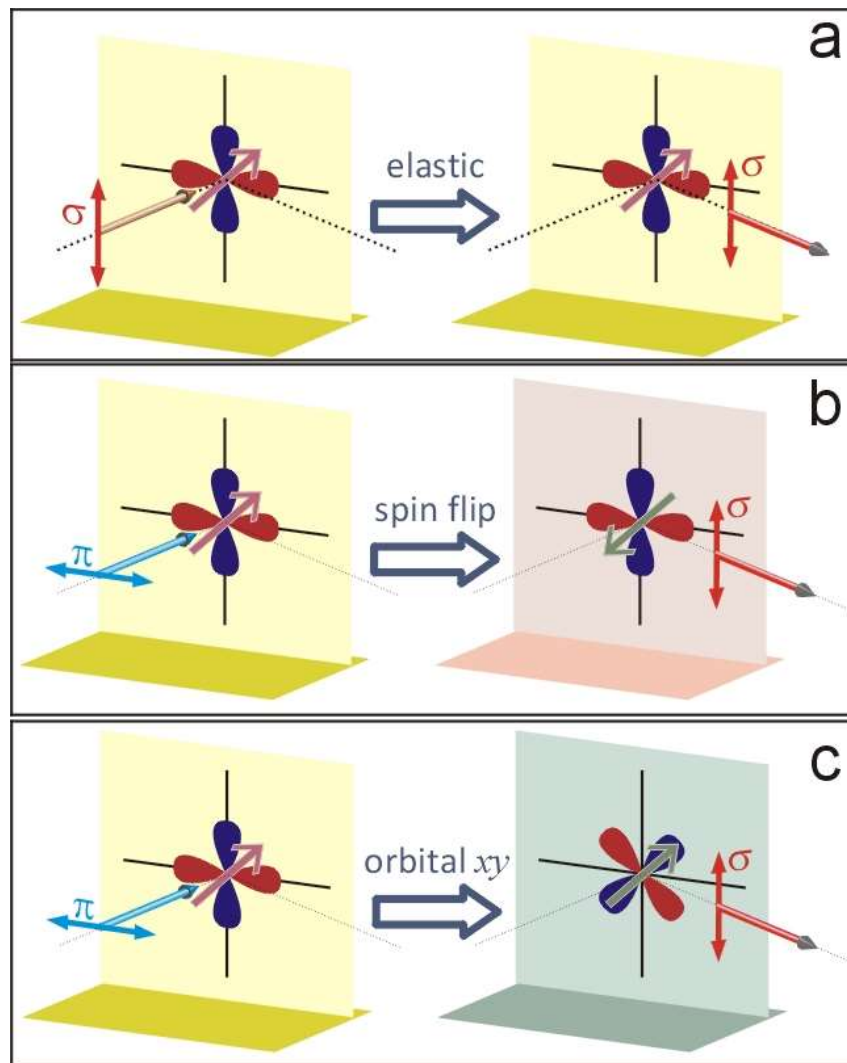


## Cu L<sub>3</sub> resonance:

- $E_0 = 930 \text{ eV}$
- $q_{\text{max}} = 0.86 \text{ Ang}^{-1}$
- confined inside a region around  $\Gamma$
- 2p core hole: spin-orbit interaction
- E resolution: 120-240 meV
- $q$  resolution: 0.005 rlu
- $\frac{1}{2}$  - 1 hour per spectrum



# Polarization dep. of Cu L<sub>3</sub> RIXS intensity

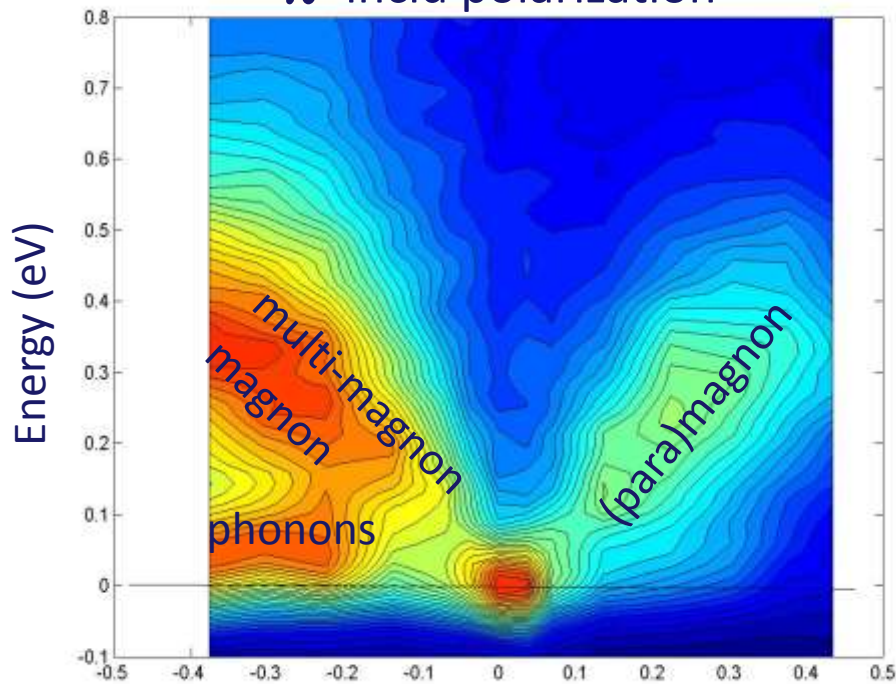




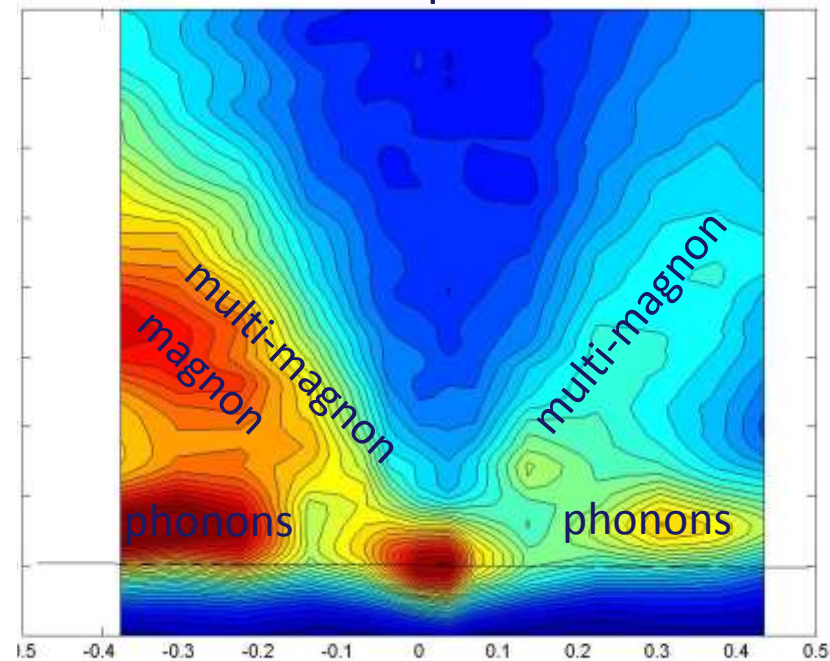
# Polarization dependent cross-sections

LSCO, opt. doping

$\pi$  incid polarization

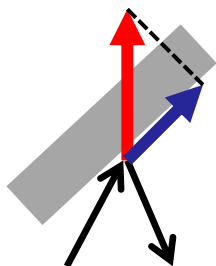


$\sigma$  incid polarization

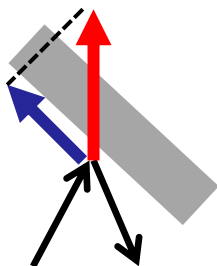


$h$  (rlu)

$q_{//} < 0$

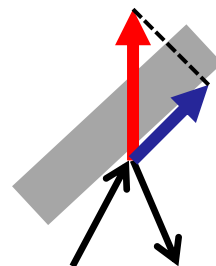


$q_{//} > 0$

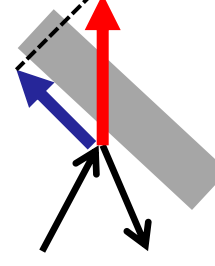


$h$  (rlu)

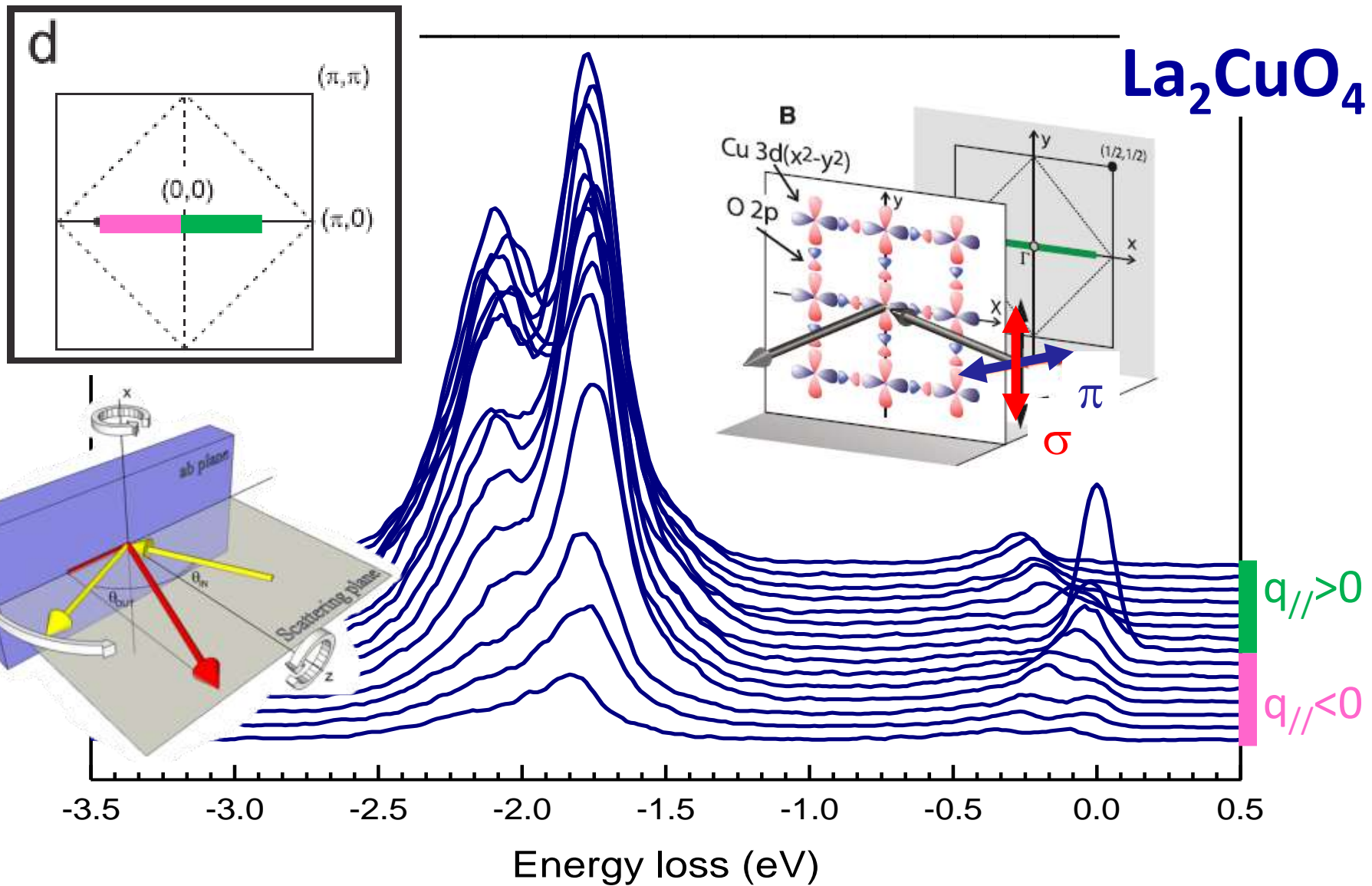
$q_{//} < 0$



$q_{//} > 0$

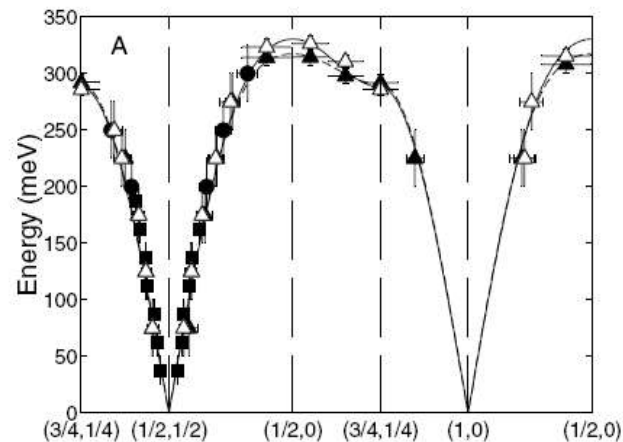
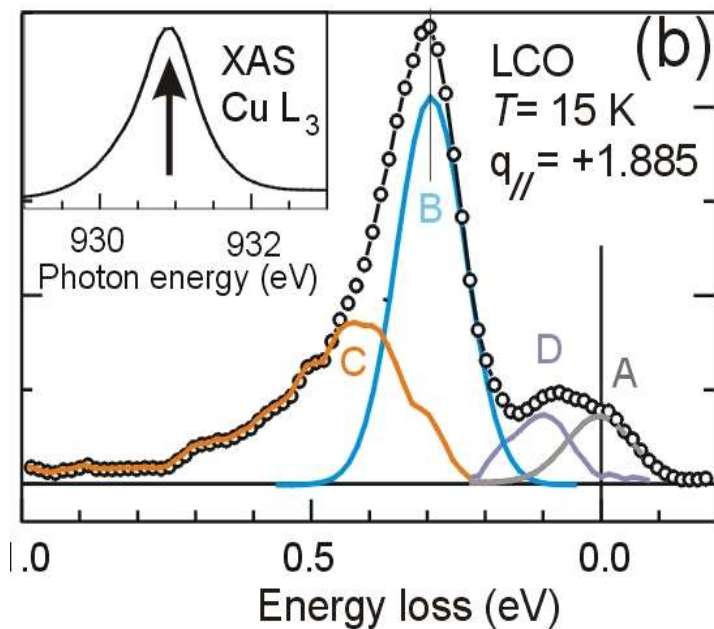
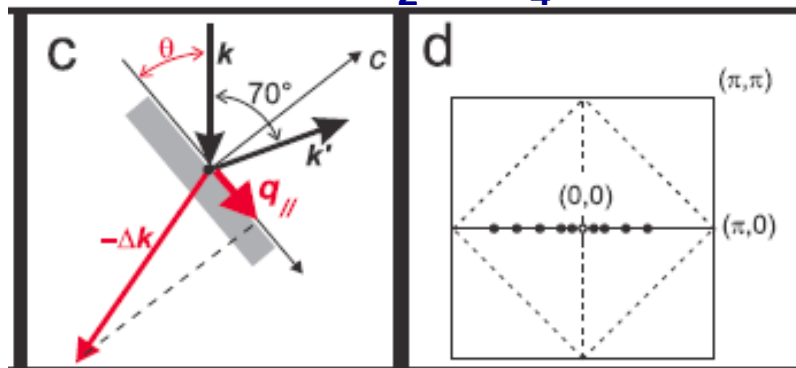


# First demonstration: $\text{La}_2\text{CuO}_4$

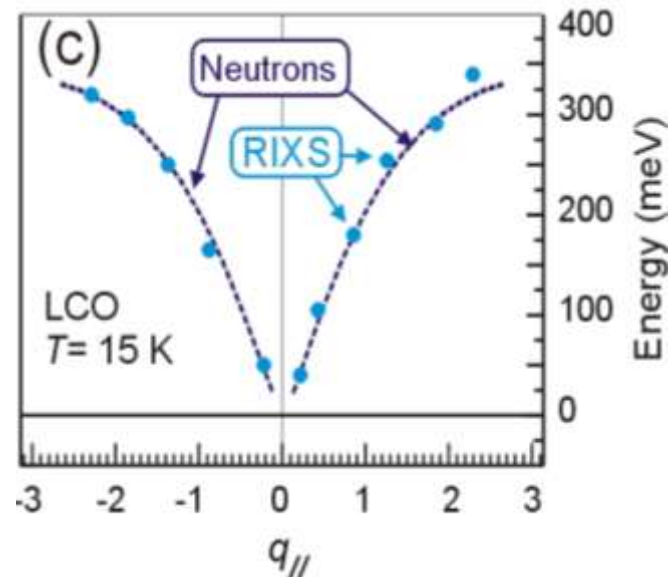


# La<sub>2</sub>CuO<sub>4</sub>, RIXS vs INS

## La<sub>2</sub>CuO<sub>4</sub>



R. Coldea et al, Phys. Rev. Lett. **86**, 5377 (2001).



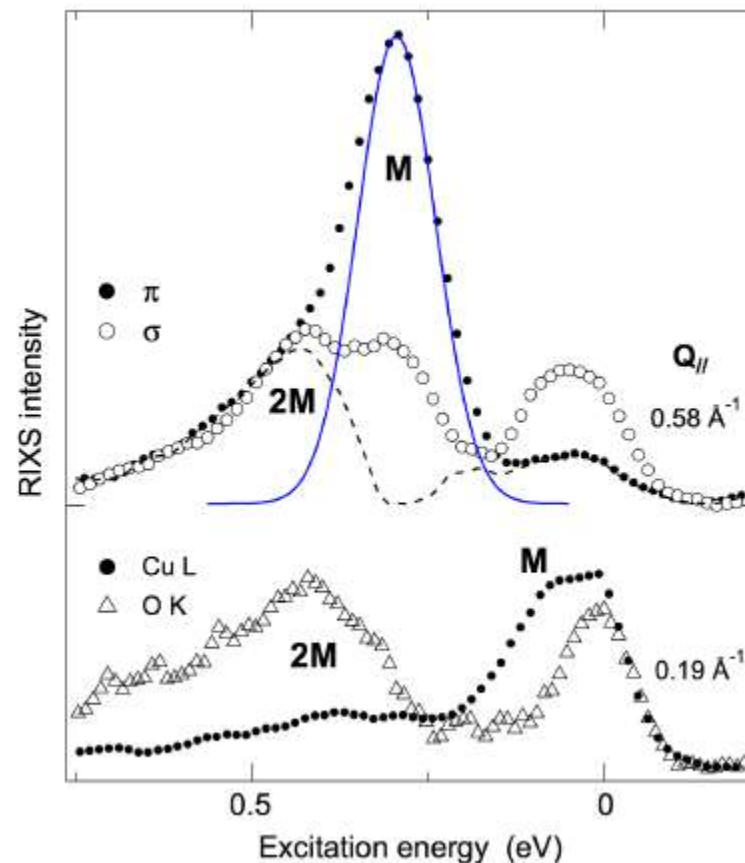
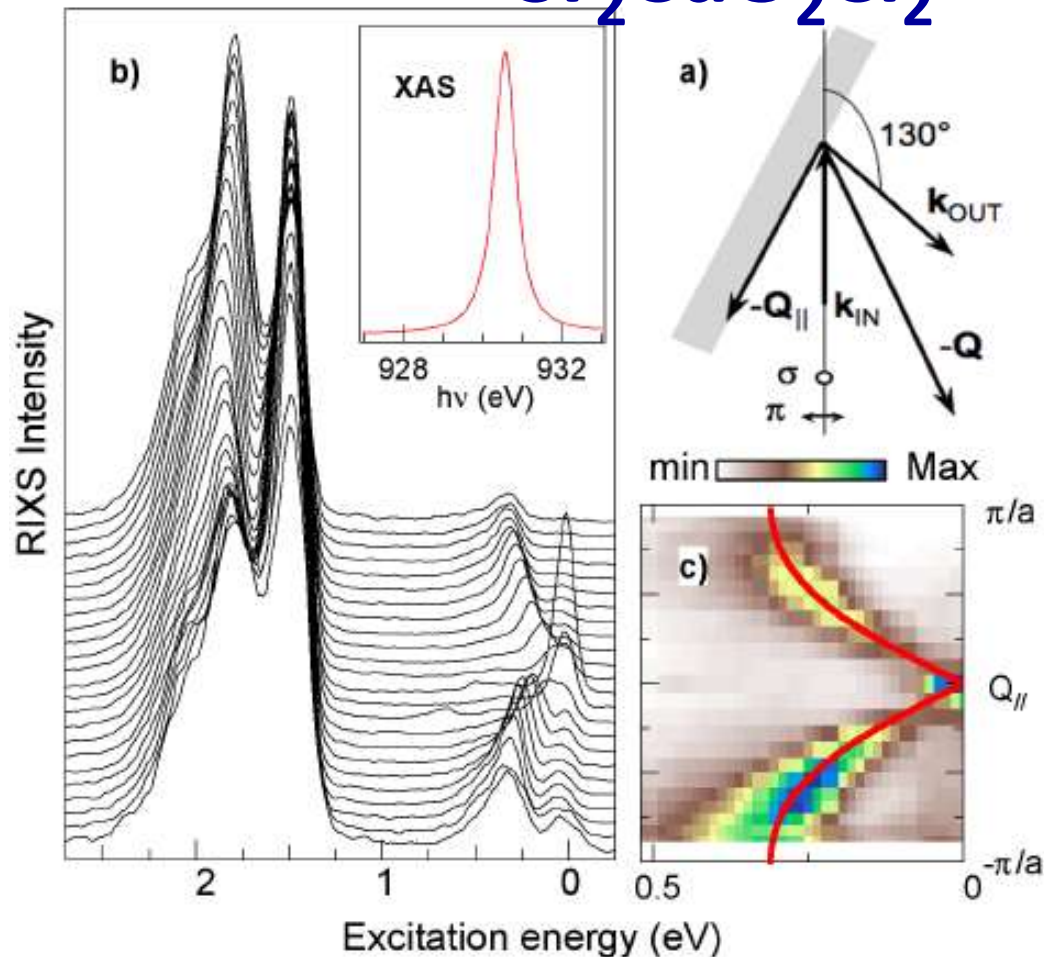
L. Braicovich, J. van den Brink, V. Bisogni, M. Moretti Sala, L. Ament, N.B. Brookes, G.M. de Luca, M. Salluzzo, T. Schmitt, and G. Ghiringhelli PRL **104** 077002 (2010)

# Magnetic excitations in AF cuprates

2008



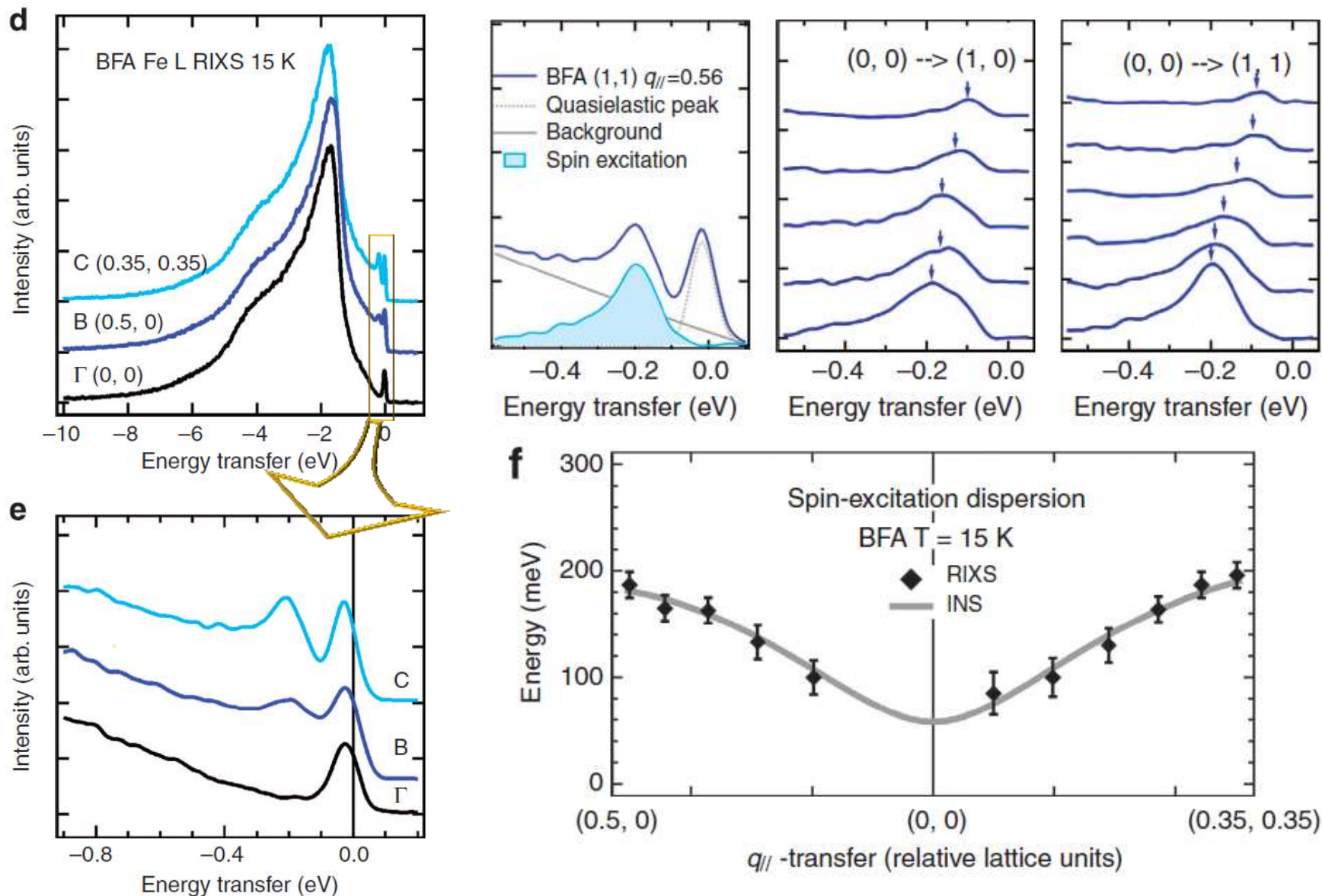
$\Delta E$  0.12 eV



M. Guarise, B. Dalla Piazza, M. Moretti Sala, G. Ghiringhelli, L. Braicovich, H. Berger, J.N. Hancock, D. van der Marel, T. Schmitt, V.N. Strocov, L.J.P. Ament, J. van den Brink, P.-H. Lin, P. Xu, H. M. Rønnow, and M. Grioni. *Phys. Rev. Lett.* **105**, 157006 (2010)



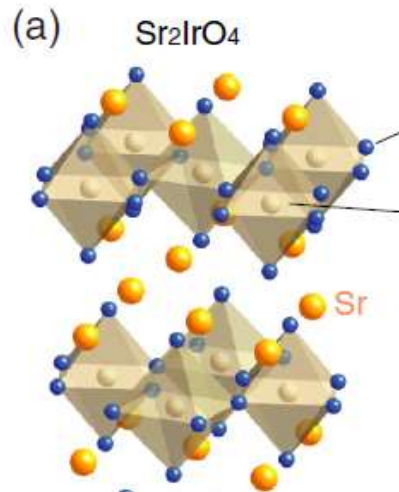
# Magnons at Fe $L_3$ edge in $BaFe_2As_2$



Ke-Jin Zhou, Yao-Bo Huang, Claude Monney, Xi Dai, Vladimir N. Strocov, Nan-Lin Wang, Zhi-Guo Chen, Chenglin Zhang, Pengcheng Dai, Luc Patthey, Jeroen van den Brink, Hong Ding & Thorsten Schmitt, *Nature Comm.* **4**, 1470 (2013)



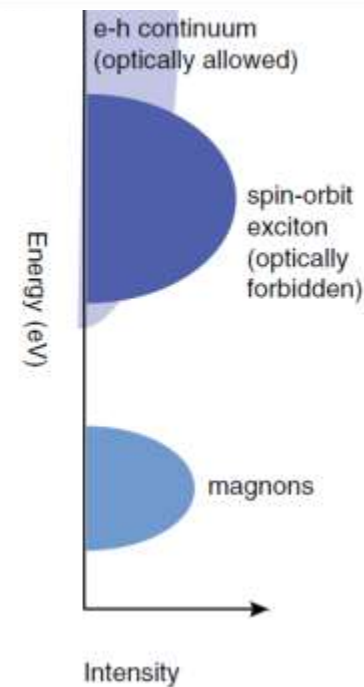
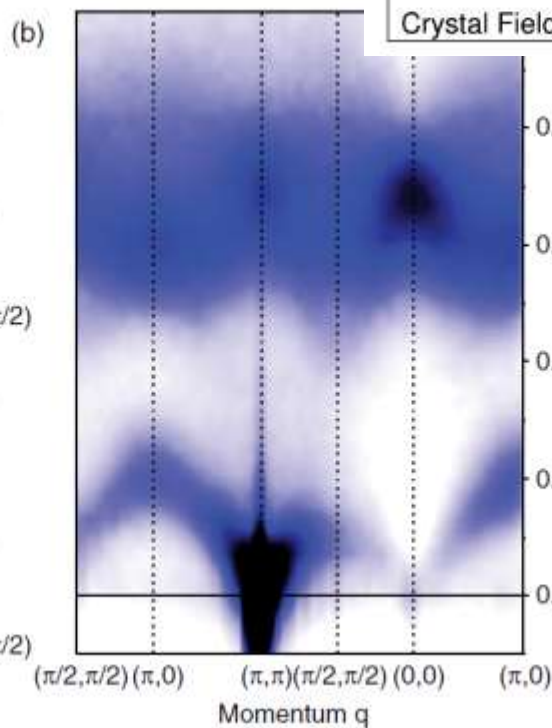
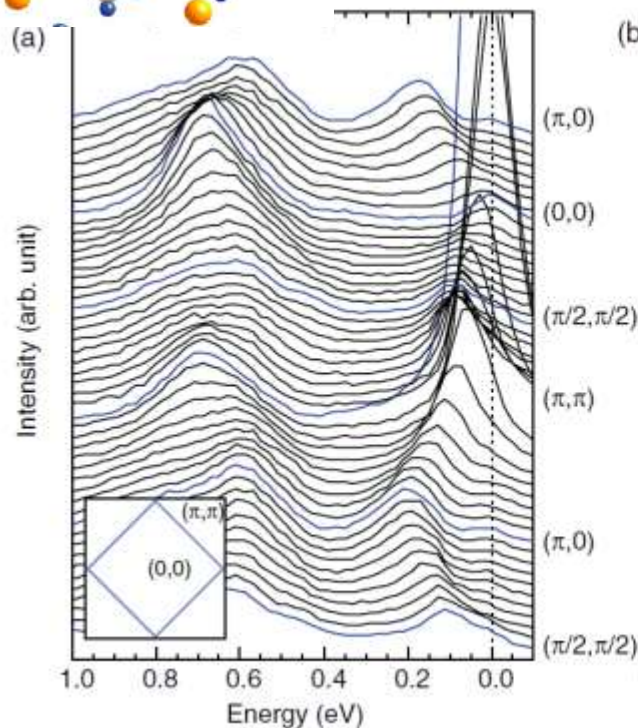
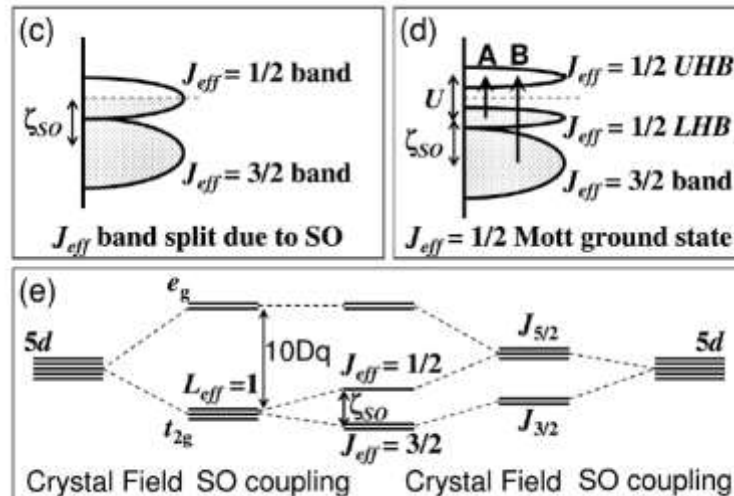
# Magnetic and orbital excitations in $\text{Sr}_2\text{IrO}_4$



Strong spin-orbit in the  $5d$

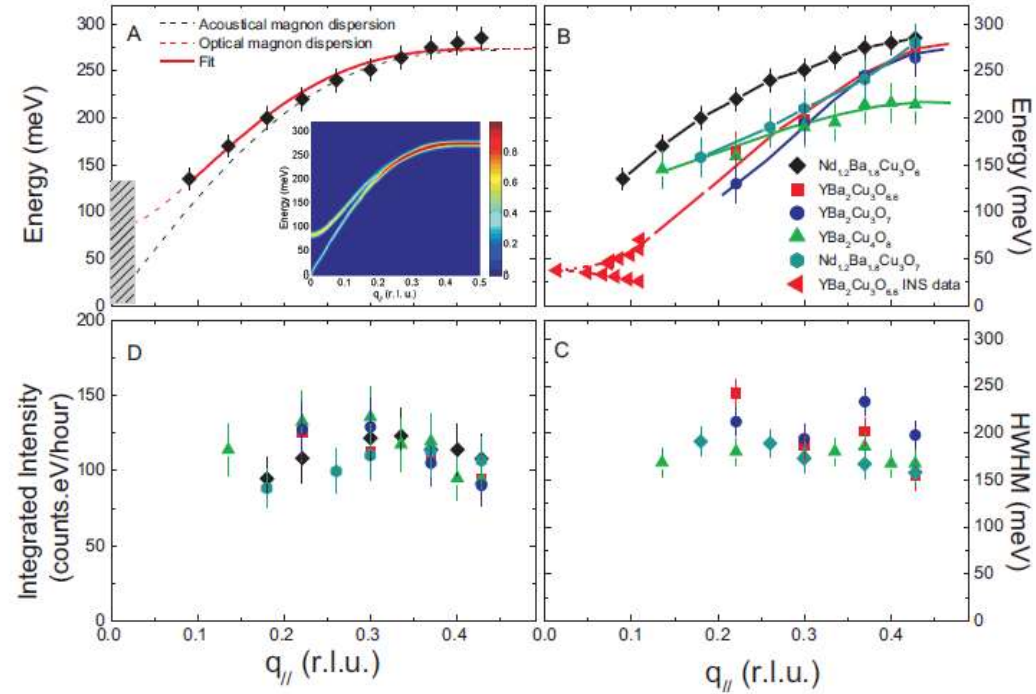
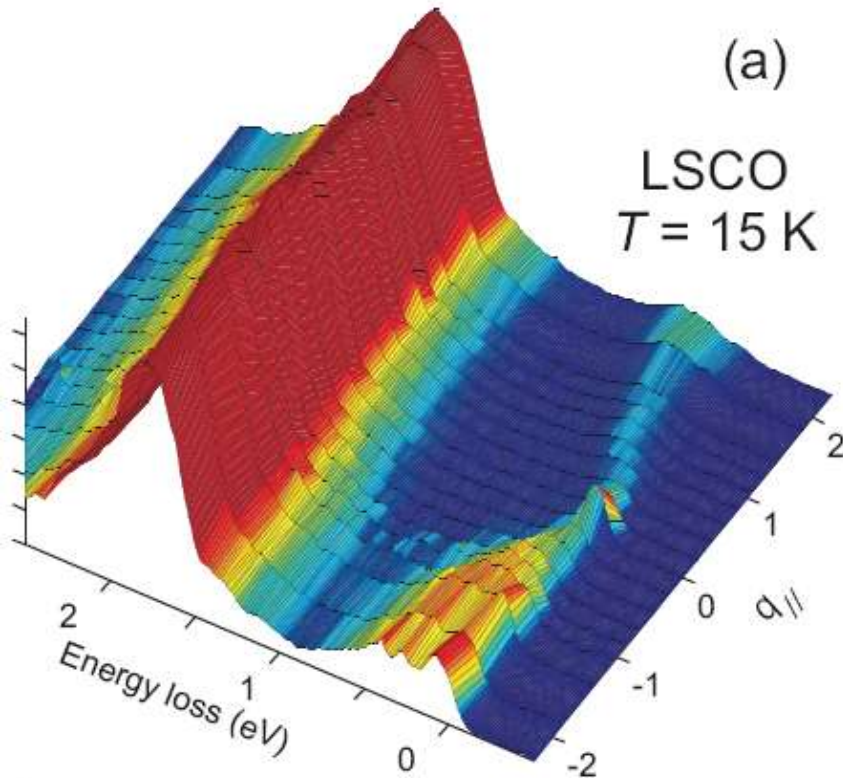
$(\zeta_{\text{SO}} \sim 0.4 \text{ eV})$

$L_3$  at 11.2 keV



Jungho Kim, D. Casa, M. H. Upton, T. Gog, Young-June Kim, J. F. Mitchell, M. van Veenendaal, M. Daghofer, J. van den Brink, G. Khaliullin, and B. J. Kim, Phys. Rev. Lett. **108**, 177003 (2012)

# Superconductors: LSCO, YBCO and NdBCO



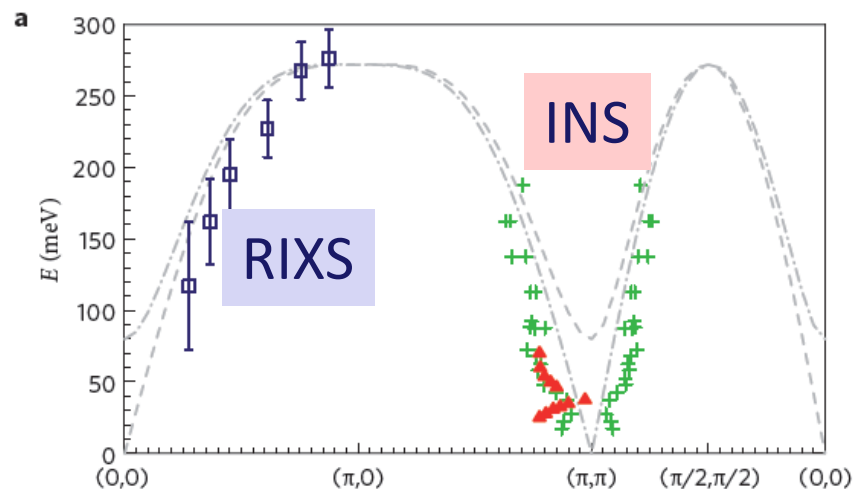
LSCO & NdBCO: 100 nm films on STO. YBCO: detwinned single crystals

Dispersing magnetic excitations are almost as strong in SC as in the AF parent compounds: they can be involved in Cooper pairing

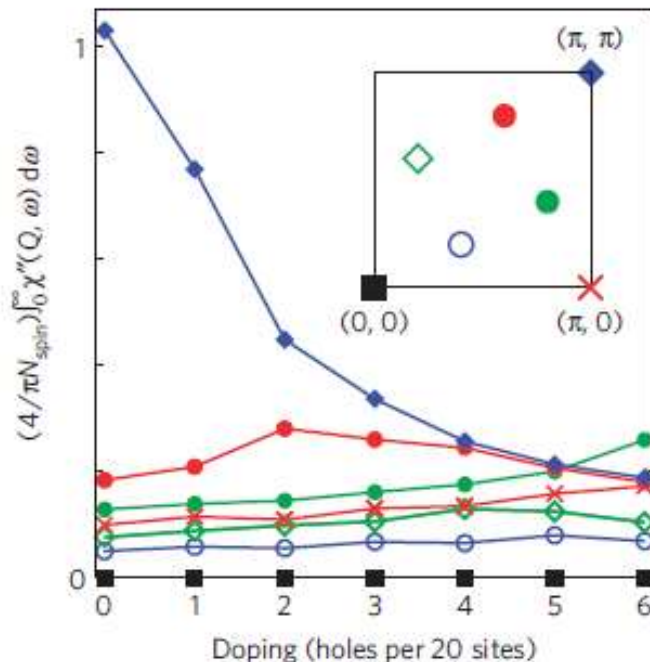
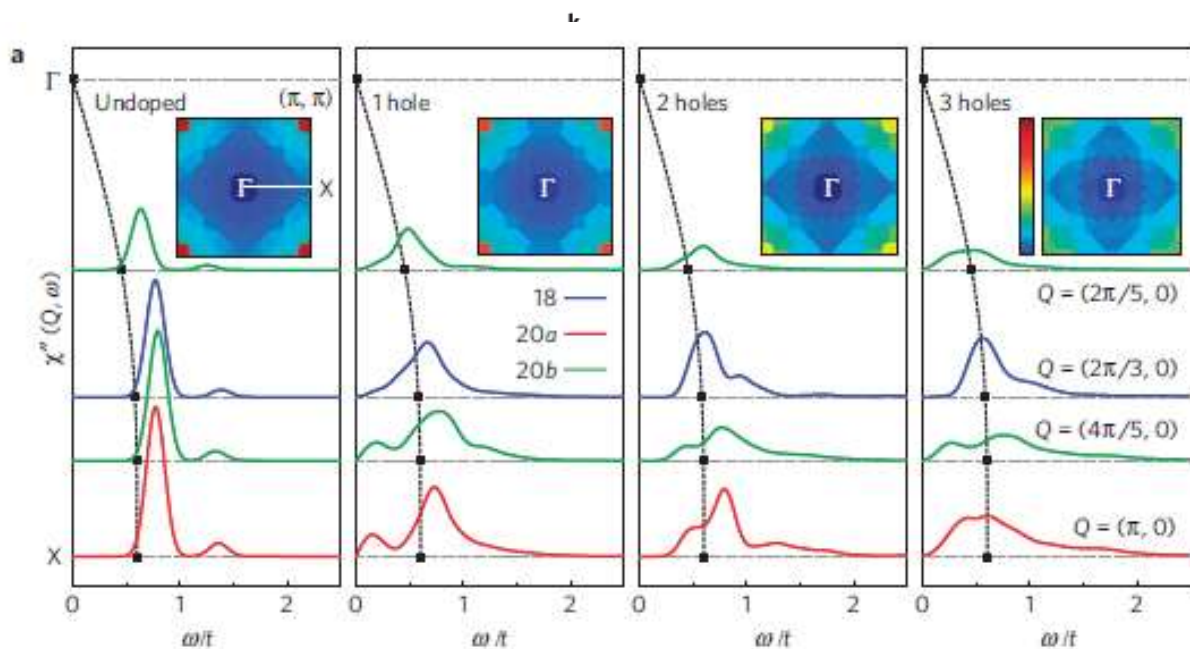
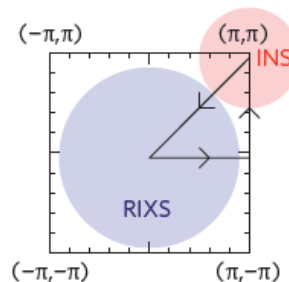
L. Braicovich, J. van den Brink, V. Bisogni, M. Moretti Sala, L. Ament, N.B. Brookes, G.M. de Luca, M. Salluzzo, T. Schmitt, and G. Ghiringhelli PRL **104** 077002 (2010)

M. Le Tacon, G. Ghiringhelli, J. Chaloupka, M. Moretti Sala, V. Hinkov, M.W. Haverkort, M. Minola, M. Bakr, K. J. Zhou, S. Blanco-Canosa, C. Monney, Y. T. Song, G. L. Sun, C. T. Lin, G. M. De Luca, M. Salluzzo, G. Khaliullin, T. Schmitt, L. Braicovich and B. Keimer, Nat. Phys. **7**, 725 (2011)

# YBCO: doping dependence of $\chi''$



Matthias Vojta, *News and Views*, Nature Physics **7**, 674 (2011)

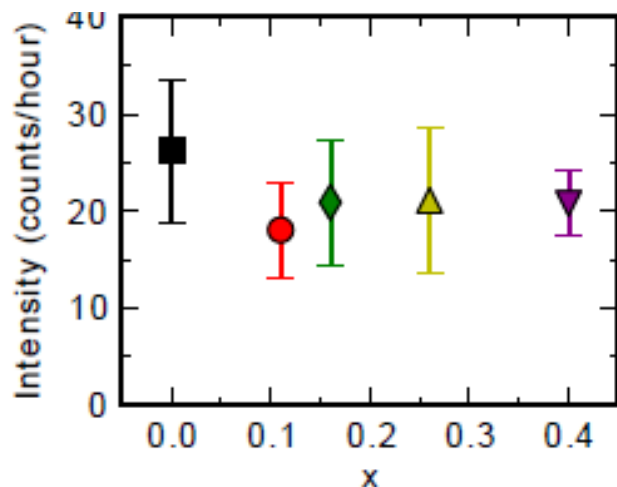
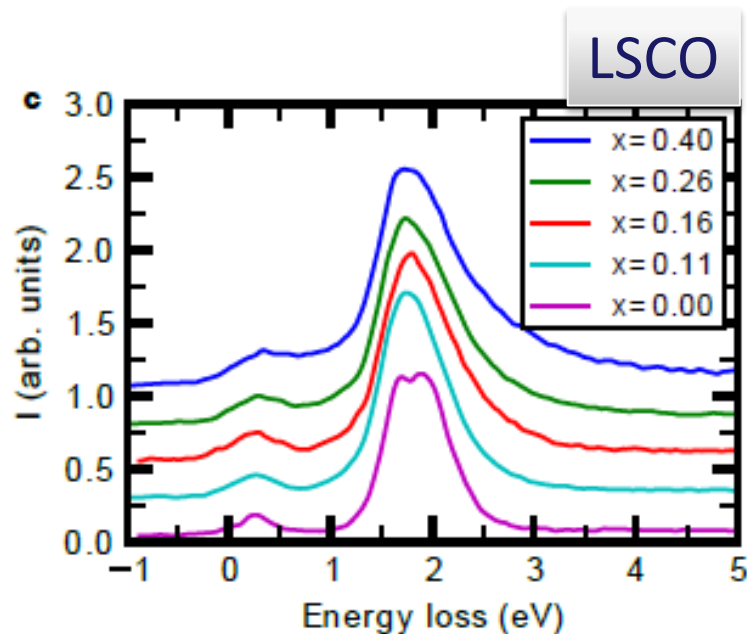


Imaginary part of the spin susceptibility  $\chi''(Q; \omega)$  resulting from exact diagonalization of the  $t$ - $J$  model with  $J/t=0.3$  on small cluster. (G. Khaliullin)

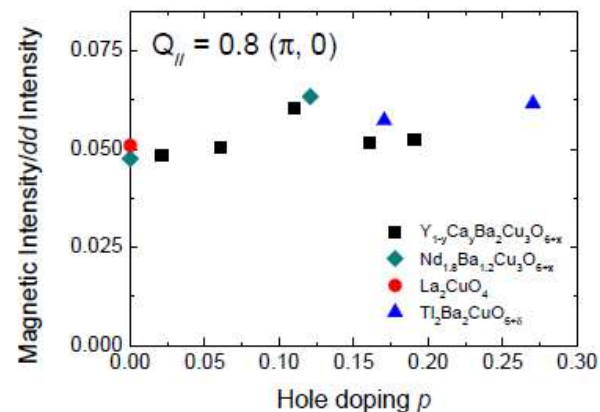
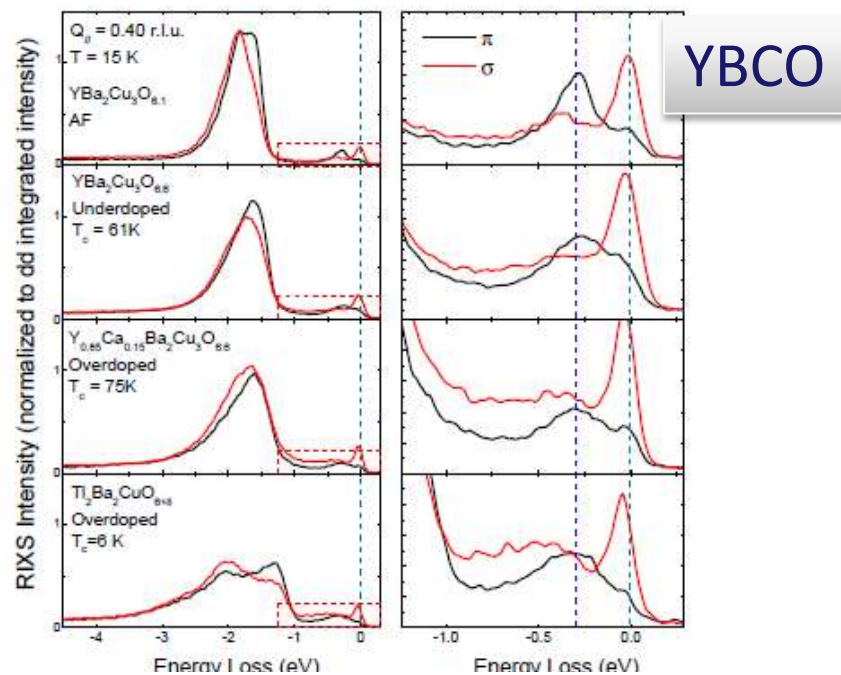
Energy-integrated  $\chi''$  of the 20-site cluster (normalized) for 7 accessible non-equivalent  $Q$  vectors. (G. Khaliullin)



# Persistent magnetic excitations in overdoped cuprates



$\pi$  pol

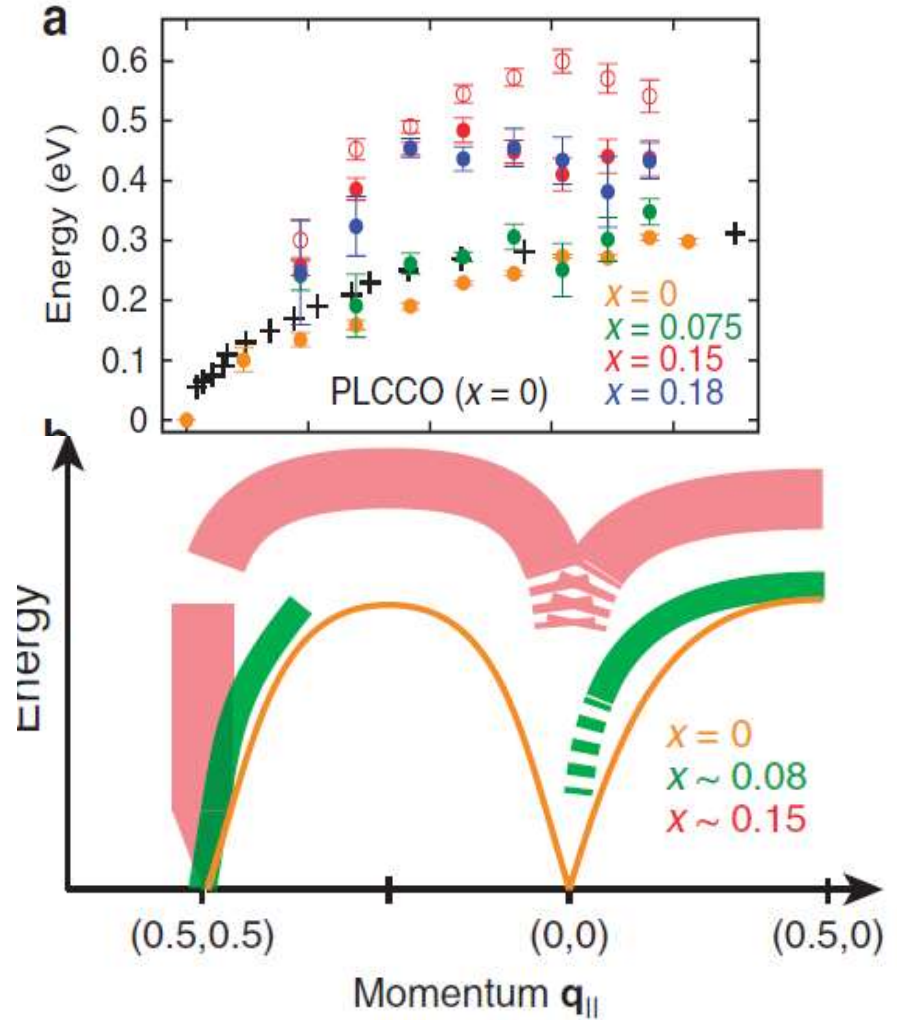
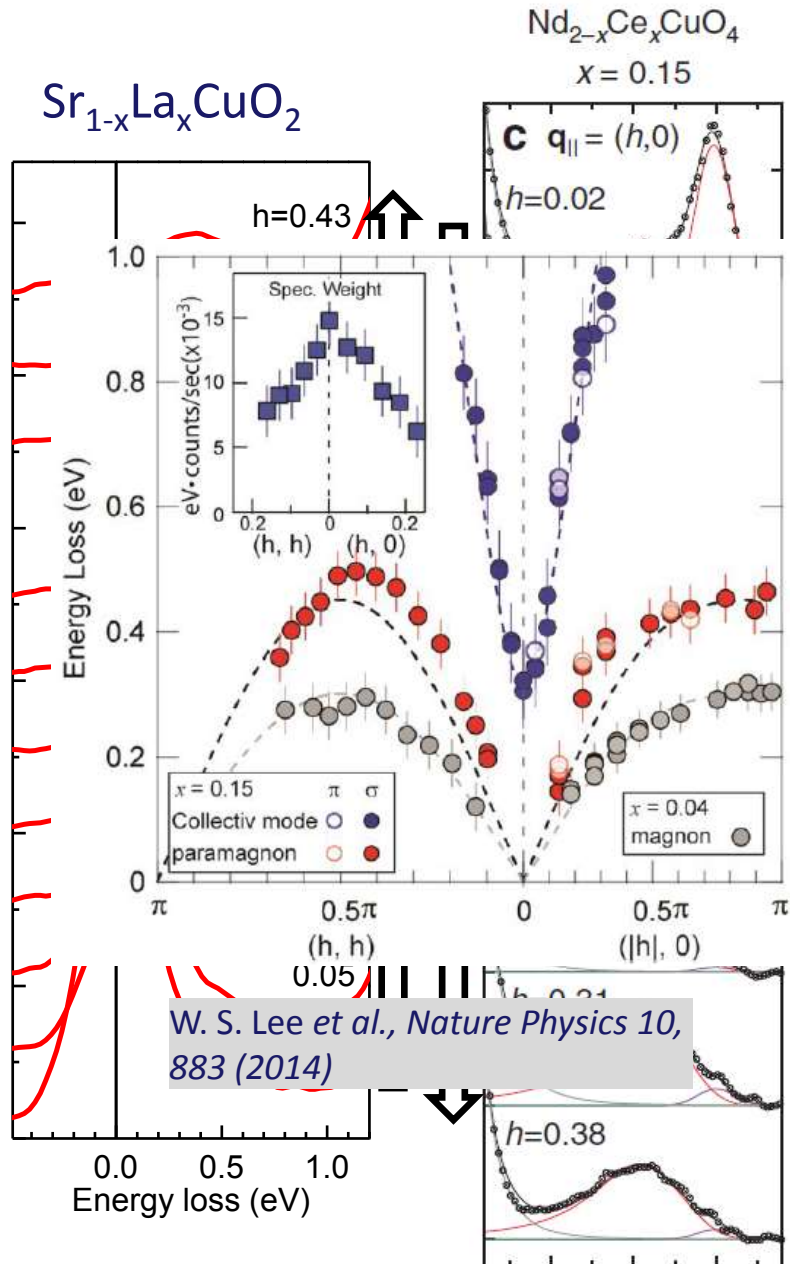


M. P. M. Dean, G. Dellea, R. S. Springell, F. Yakhov-Harris, K. Kummer, N. B. Brookes, X. Liu, Y.-J. Sun, J. Strle, T. Schmitt, L. Braicovich, G. Ghiringhelli, I. Bozovic, and J. P. Hill, Nat. Mater. **12**, 1019 (2013)

Giacomo Ghiringhelli 2015

M. Le Tacon, M. Minola, D. C. Peets, M. Moretti Sala, S. Blanco-Canosa, V. Hinkov, R. Liang, D. A. Bonn, W. N. Hardy, C. T. Lin, T. Schmitt, L. Braicovich, G. Ghiringhelli, and B. Keimer, Phys. Rev. B **88**, 020501 (2013)

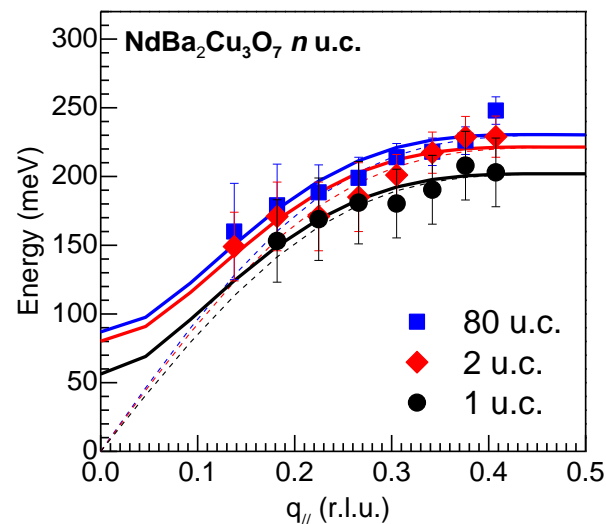
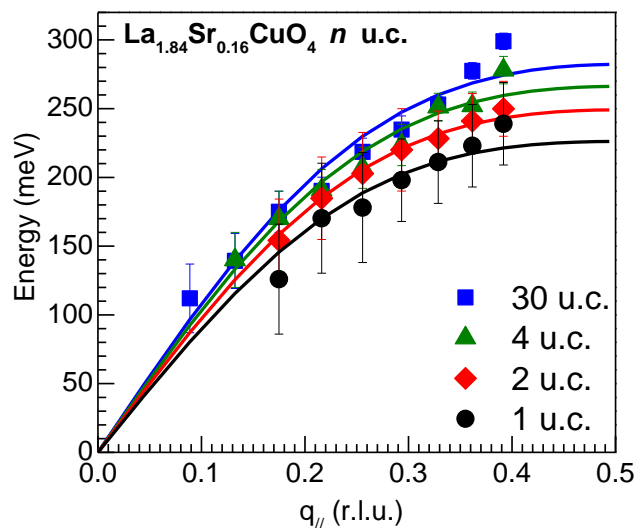
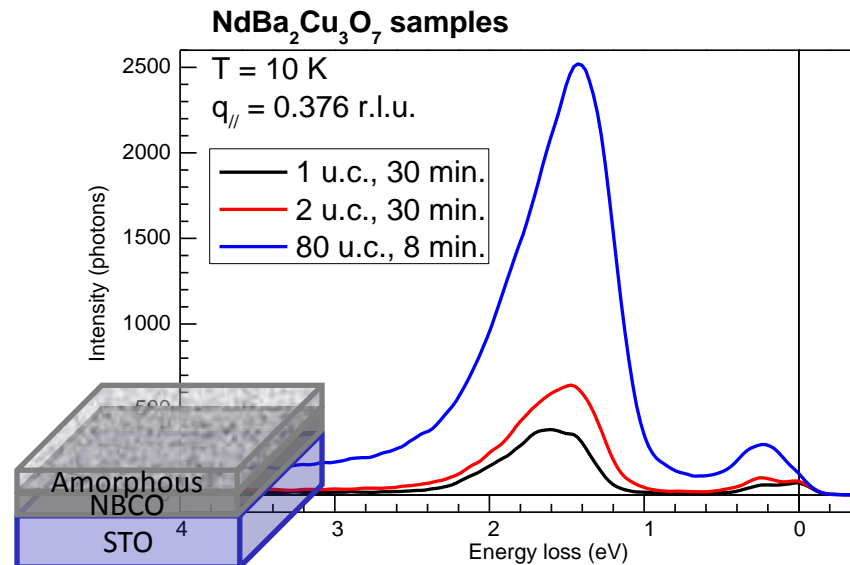
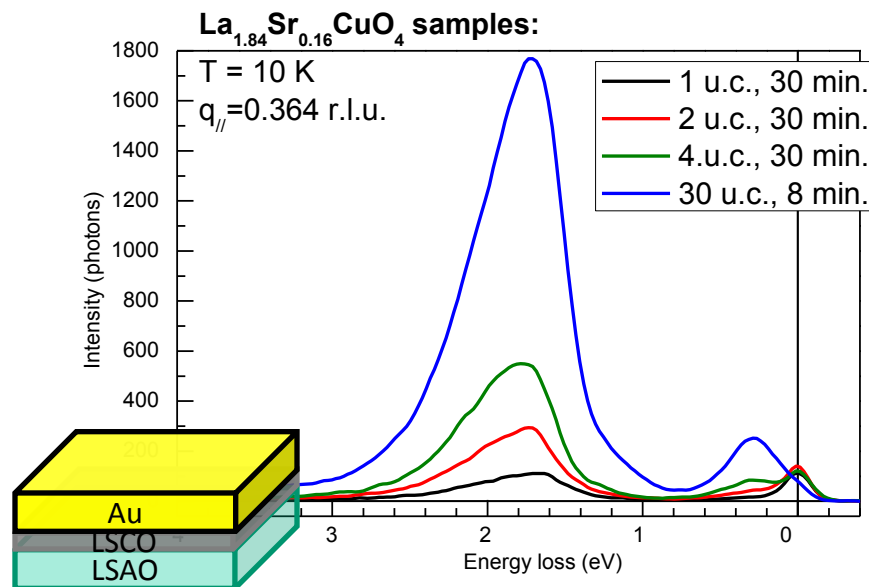
# Spin excitations in e-doped SC



K. Ishii, M. Fujita, T. Sasaki, M. Minola, G. Dellea, C. Mazzoli, K. Kummer, G. Ghiringhelli, L. Braicovich, T. Tohyama, K. Tsutsumi, K. Sato, R. Kajimoto, K. Ikeuchi, K. Yamada, M. Yoshida, M. Kurooka & J. Mizuki, *Nat. Comm.* 5, 3714 (2014)

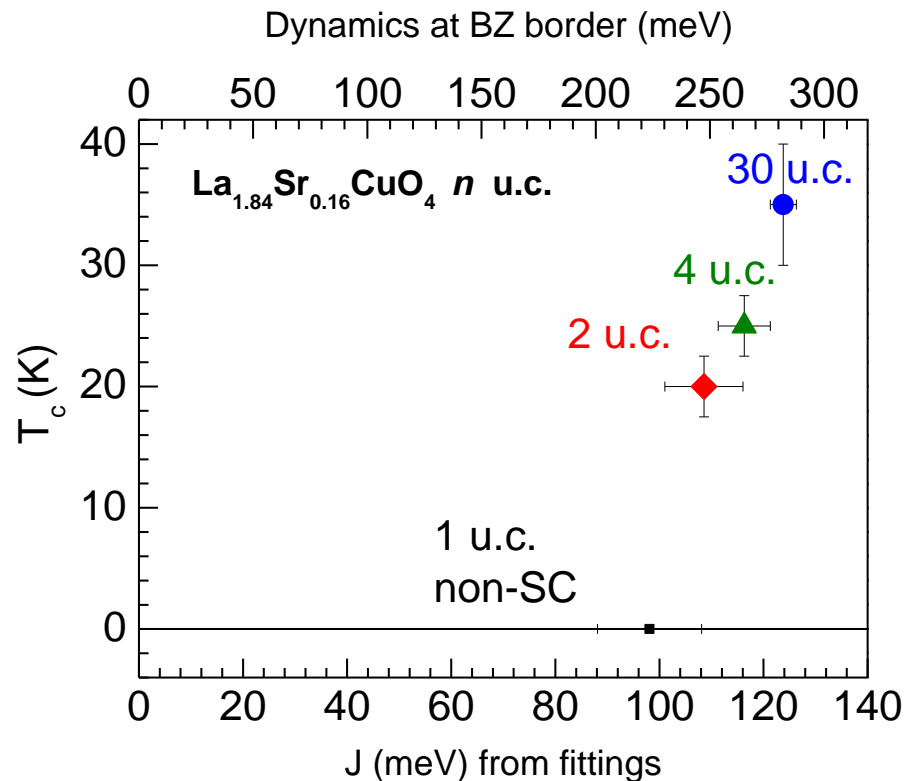
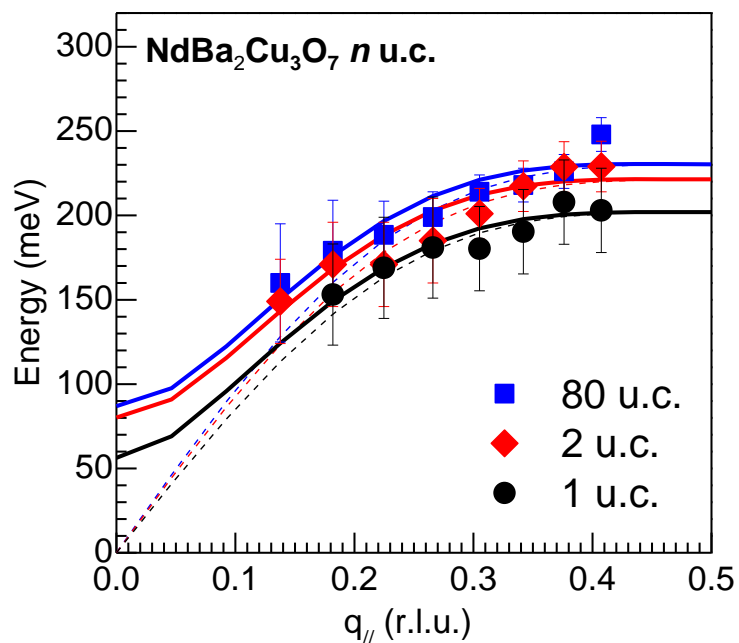
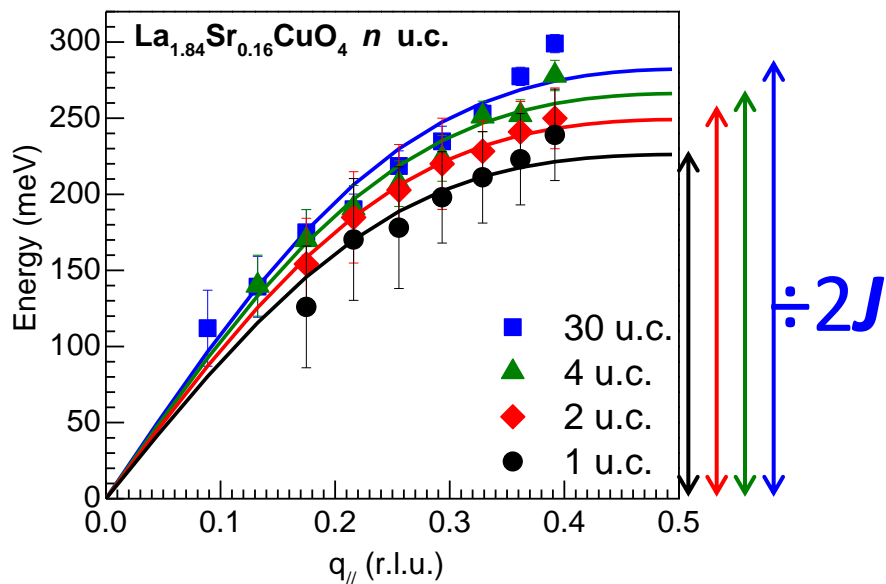


# Exceptional sensitivity of RIXS



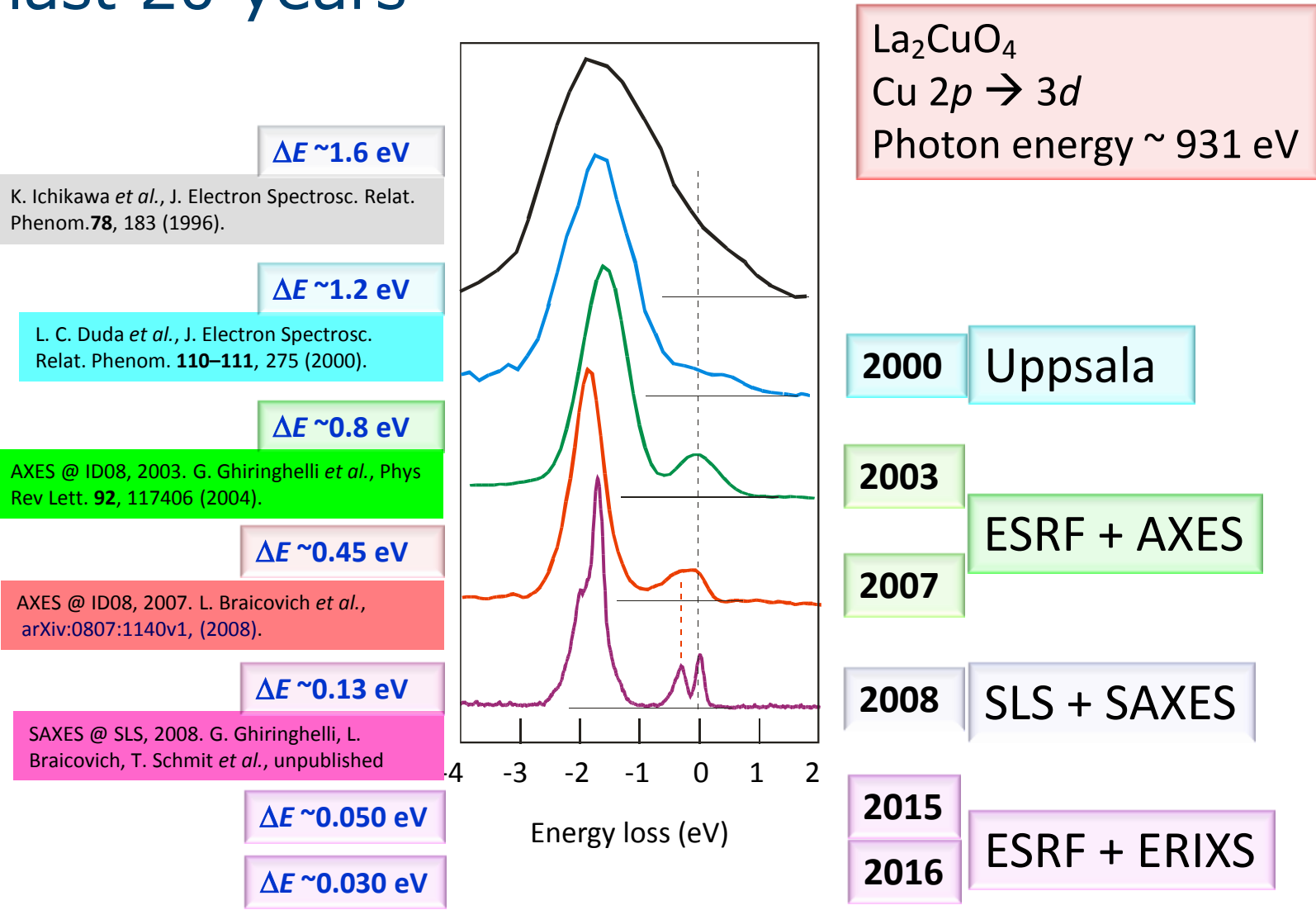
Experiment at ADDRESS beam line of SLS: **M. Minola**, G. Dellea, M. Salluzzo, V. Bisogni, T. Schmitt, M. Le Tacon, G. Logvenov, G. Cristiani, F. Baiutti, B. Keimer, L. Braicovich, G. Ghiringhelli, unpublished

# Small effect of thickness on $J$



Linear spin-wave theory fittings  
 $J$  decreases at lower film thickness, but only by 20% from bulk to 1 uc

# ENERGY RESOLUTION: progress in the last 20 years



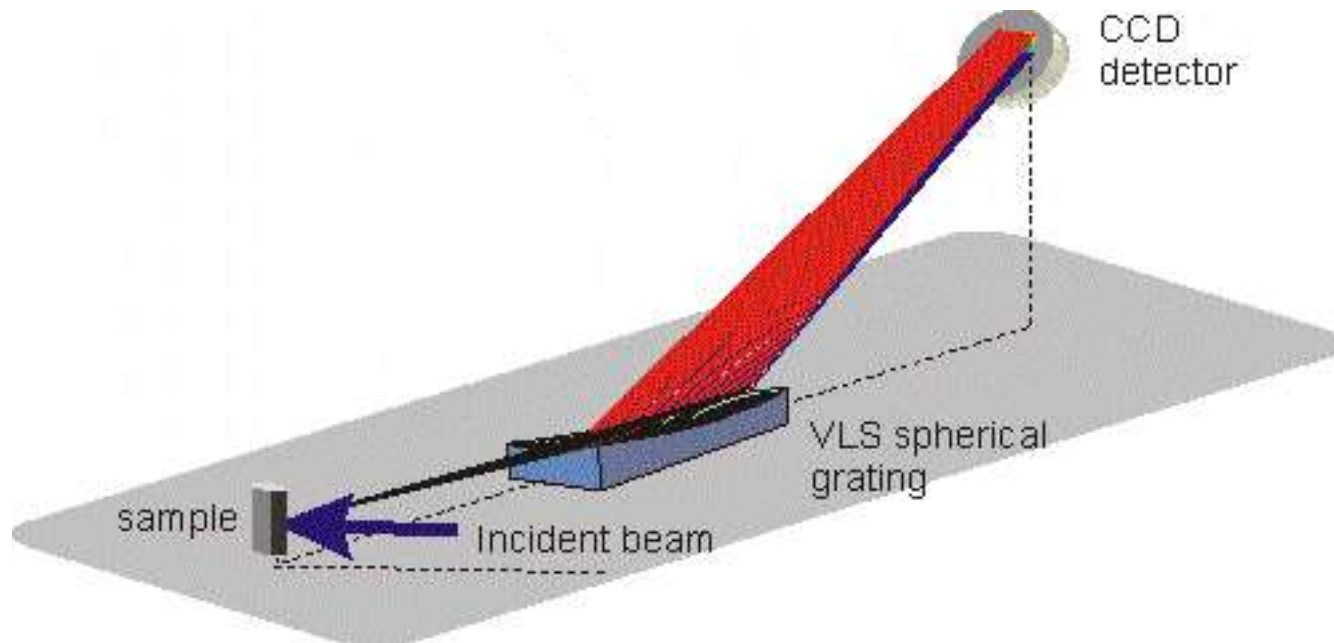
Combined resolving power has increased by a factor 30

# Soft x-ray RIXS instrumentation

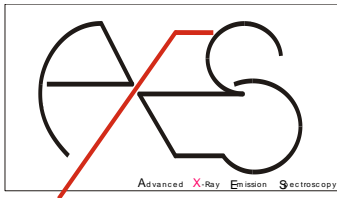
High resolution mono, small x-ray spot on the sample

Grating spectrometer: optimized efficiency, high resolution

The main limiting factor is INTENSITY!!!!



# From AXES (ESRF, ID08) to SAXES (SLS, ADDRESS)



Since 1994: AXES at beam line ID08 of the ESRF

$L = 2.2 \text{ m}$

Design:  $E/\Delta E = 2,000$  at Cu  $L_3$  (930 eV)

2010:  $E/\Delta E = 5,000$  at Cu  $L_3$

Since 2007: SAXES at beam line ADDRESS of the SLS

$L = 5.0 \text{ m}$

Design:  $E/\Delta E = 12,000$  at Cu  $L_3$

2011:  $E/\Delta E = 11,000$  at Cu  $L_3$

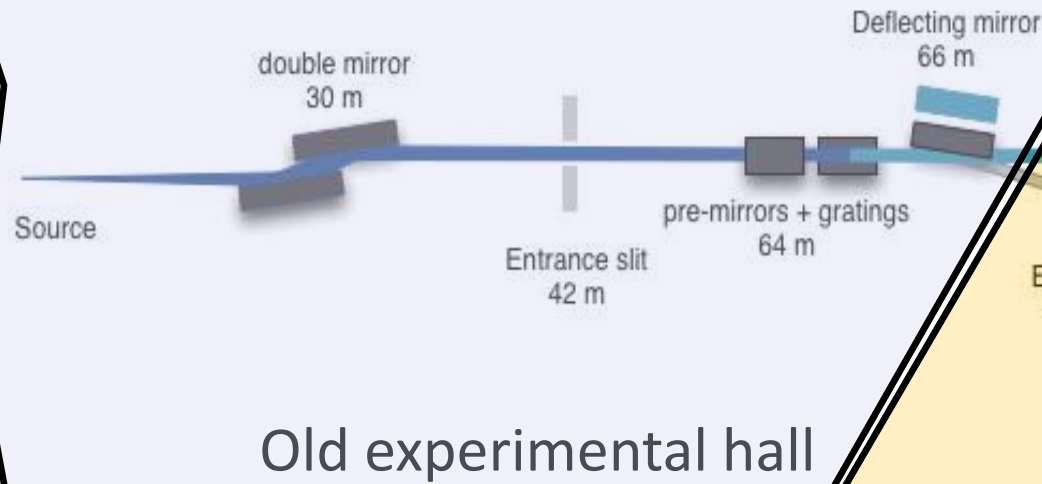
C. Dallera *et al.* J. Synchrotron Radiat. **3**, 231 (1996)  
G. Ghiringhelli *et al.*, Rev. Sci. Instrum. **69**, 1610 (1998)  
M. Dinardo *et al.*, Nucl. Instrum. Meth A **570**, 176 (2007)

G. Ghiringhelli, et al Rev. Sci. Instrum. **77**, 113108 (2006)  
V. Strocov, T. Schmitt, L. Patthey et al, J. Synch. Rad., **17**, 631 (2010).

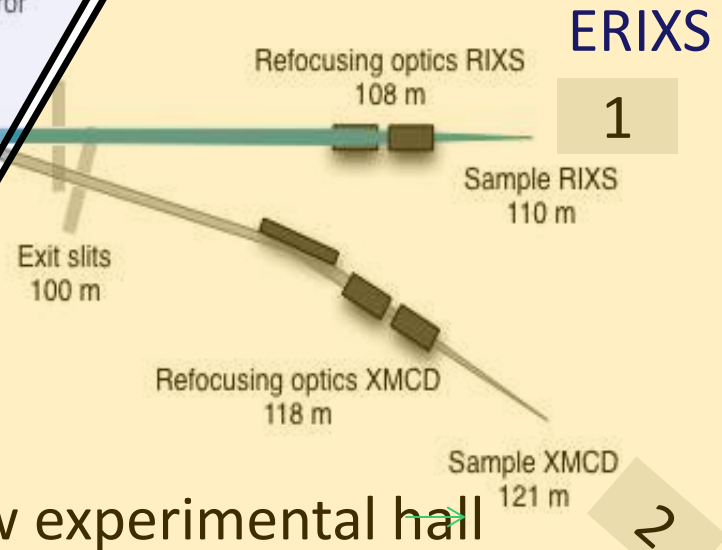




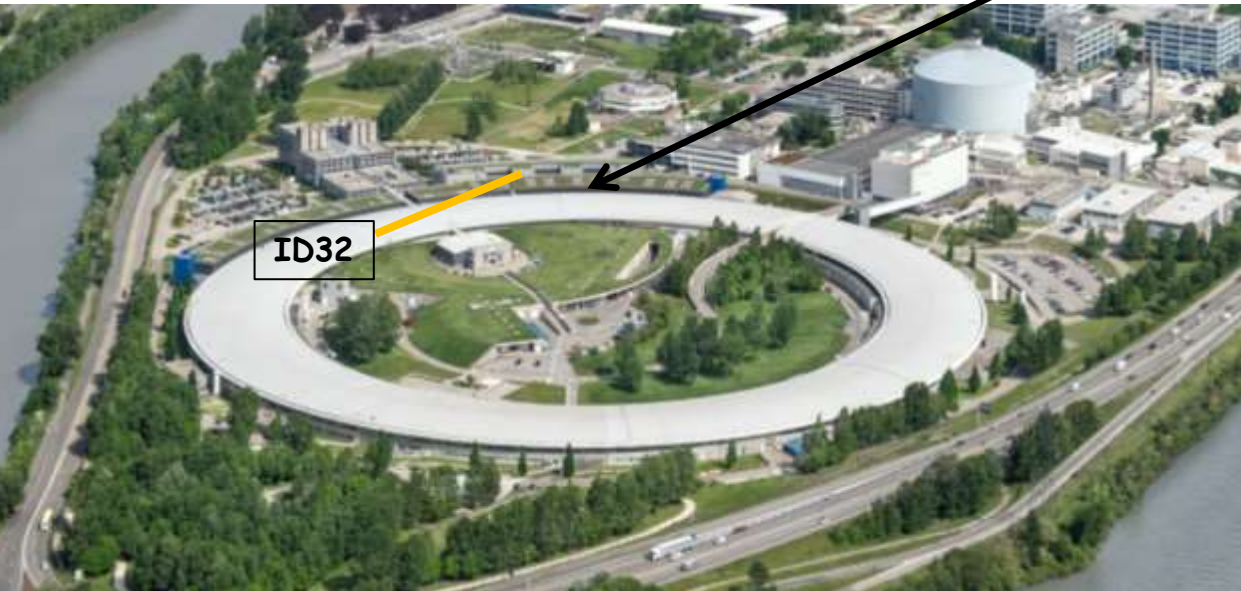
# New ID32 at the ESRF



Old experimental hall



New experimental hall



# ERIXS spectrometer at the new ID32

## FEATURES:

- $E/\Delta E > 20,000$  below 1000 eV from day one (50 meV at Cu  $L_3$ ) and  $E/\Delta E > 30,000$  ultimate
- continuous variation of scattering angle,
- full control of sample orientation (almost a diffractometer),
- measurement the linear polarization of the scattered photons (when needed)
- optionally in high magnetic field
- flexible sample environment: possibility of liquid and gas phase experiments

ESRF Upgrade program,  
N.B. Brookes, F. Yakhou,  
GG et al



Commissioning:

Beamline: started operations in Dec 2014

ERIXS: first experiment 1<sup>st</sup> July 2015

Full ERIXS user operation – fall 2015

# ERIXS and the other HR soft-RIXS projects

SR FACILITY	E/ $\Delta$ E (combined)	Length	YEAR	NOTES
ESRF, ERIXS@ID32	30,000	11 m	2015	With Polarimeter
DIAMOND, IXS	40,000	14 m	2017	
MAX IV, Veritas	40,000	?	2017	Rowland Geometry
NSLS II, Centurion@SIX	70,000	15 m	2017	Hettrick-Underwood, 50 nrad slope error, 1 $\mu$ m spot on sample
European XFEL	20,000	5 m	2017	For non linear RIXS and pump-probe time-resolved RIXS

# Bibliography

REVIEWS OF MODERN PHYSICS, VOLUME 83, APRIL–JUNE 2011

## Resonant inelastic x-ray scattering studies of elementary excitations

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Thanks



***Insights into the high temperature superconducting  
cuprates from resonant inelastic X-ray scattering***

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